

# APPENDIX “C”- CSBG Monitoring Toolkit Standards Matrix

This chart demonstrates how an individual standard may be associated with many different business-related objectives (themes), federal programs, state requirements, or the national community action movement. The connection is based in whole or within parts of the required guidance. Also, the information may be a necessary part of completing another document.

Standard Number	IM-138	Program Operations	Attestation	CSBG Act/45 CFR	Uniform Guidance	Additional IMs	Indiana Code	Grant Agreement	IRS Form 990	Control Activities	Risk Management	Capacity Building	Governing Board	CSBG Annual Report	ROMA Cycle	NCAP Standards of Excellence	NCAP Code of Ethics	Head Start Program
<b>Total (93)</b>	<b>58</b>	<b>26</b>	<b>9</b>	<b>35</b>	<b>19</b>	<b>59</b>	<b>18</b>	<b>18</b>	<b>22</b>	<b>50</b>	<b>22</b>	<b>36</b>	<b>36</b>	<b>18</b>	<b>63</b>	<b>55</b>	<b>41</b>	<b>62</b>
<b>%</b>	<b>62</b>	<b>28</b>	<b>10</b>	<b>38</b>	<b>20</b>	<b>63</b>	<b>19</b>	<b>19</b>	<b>23</b>	<b>54</b>	<b>24</b>	<b>39</b>	<b>39</b>	<b>19</b>	<b>68</b>	<b>59</b>	<b>44</b>	<b>67</b>
1.1	x			x		x	x							x	x	x		x
1.2	x					x	x					x		x	x	x	x	x
1.3	x			x		x	x			x			x	x	x	x	x	x
	<b>3</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>3</b>	<b>3</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>2</b>	<b>3</b>
2.1	x			x		x	x					x		x	x	x		x
2.2	x			x			x		x			x		x	x	x	x	x
2.3	x														x	x	x	x
2.4	x								x			x		x	x			x
	<b>4</b>	<b>0</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>1</b>	<b>2</b>	<b>0</b>	<b>2</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>3</b>	<b>4</b>	<b>3</b>	<b>2</b>	<b>4</b>
3.1	x			x		x						x			x	x	x	x
3.2	x					x						x			x			x
3.3	x											x		x	x	x	x	x
3.4	x			x			x					x			x	x	x	x
3.5	x			x		x							x		x	x		x
	<b>5</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>4</b>	<b>1</b>	<b>1</b>	<b>5</b>	<b>4</b>	<b>3</b>	<b>5</b>
4.1	x			x		x			x			x	x		x	x	x	
4.2	x			x		x						x			x	x	x	x
4.3	x			x		x						x			x	x		x
4.4	x			x		x				x			x	x	x	x	x	x
4.5	x			x		x					x	x	x			x		
4.6	x			x		x					x		x		x	x	x	x
	<b>6</b>	<b>0</b>	<b>0</b>	<b>6</b>	<b>0</b>	<b>6</b>	<b>0</b>	<b>0</b>	<b>1</b>	<b>1</b>	<b>2</b>	<b>4</b>	<b>4</b>	<b>1</b>	<b>5</b>	<b>6</b>	<b>4</b>	<b>4</b>
5.1	x			x		x	x	x	x				x			x		x
5.2	x			x		x	x		x				x					
5.3	x									x								
5.4	x					x							x					
5.5	x					x	x		x				x			x		
5.6	x				x	x			x	x	x		x				x	x
5.7	x					x				x	x	x	x					x
5.8	x					x					x	x	x			x	x	x
5.9	x			x		x				x		x	x		x		x	x
	<b>9</b>	<b>0</b>	<b>0</b>	<b>3</b>	<b>1</b>	<b>8</b>	<b>3</b>	<b>1</b>	<b>4</b>	<b>3</b>	<b>3</b>	<b>3</b>	<b>8</b>	<b>0</b>	<b>1</b>	<b>3</b>	<b>3</b>	<b>5</b>

Standard Number	IM-138	Program Operations	Attestation	CSBG Act/45 CFR	Uniform Guidance	Additional IMs	Indiana Code	Grant Agreement	IRS Form 990	Control Activities	Risk Management	Capacity Building	Governing Board	CSBG Annual Report	ROMA Cycle	NCAP Standards of Excellence	NCAP Code of Ethics	Head Start Program
6.1	x			x		x	x			x		x	x		x	x		x
6.2	x			x		x						x			x	x	x	x
6.3	x					x								x	x	x	x	x
6.4	x					x	x								x	x		x
6.5	x			x		x				x			x		x	x	x	x
	5	0	0	3	0	5	2	0	0	2	0	2	2	1	5	5	3	5
7.1	x					x					x		x		x	x		x
7.2	x									x	x				x			x
7.3	x									x	x	x			x	x		x
7.4	x					x				x		x	x		x		x	x
7.5	x				x	x			x	x			x					x
7.6	x					x				x		x			x	x	x	
7.7	x					x			x		x	x	x			x	x	x
7.8	x									x		x			x	x		x
7.9	x											x		x	x	x	x	x
	9	0	0	0	1	5	0	0	2	6	4	6	4	1	7	6	4	8
8.1	x				x	x		x	x	x						x		x
8.2	x				x					x	x		x		x	x		x
8.3	x					x				x			x				x	x
8.4	x					x			x	x			x					x
8.5	x				x					x			x					x
8.6	x					x			x	x			x		x			
8.7	x				x	x				x		x	x	x	x	x	x	x
8.8	x									x								
8.9	x					x				x			x		x	x	x	x
8.10	x									x	x	x	x			x	x	x
8.11	x				x	x		x		x	x	x	x			x		x
8.12	x				x	x		x						x		x		
8.13	x				x			x	x	x								x
	13	0	0	0	7	8	0	4	4	11	4	3	9	2	4	7	4	10
9.1	x													x	x			x
9.2	x			x		x								x	x			x
9.3	x			x		x			x	x		x	x	x	x	x	x	x
9.4	x			x		x								x	x			x
	4	0	0	3	0	3	0	0	1	1	0	1	1	4	4	1	1	4
10.1		x		x		x	x		x	x	x		x					
10.2		x				x			x	x			x					
10.3		x		x		x			x	x		x	x	x	x			x
10.4		x				x	x	x		x	x	x			x	x		x
10.5		x		x		x	x	x			x					x	x	x
10.6		x				x				x					x	x	x	x
10.7		x				x								x	x	x		x
10.8		x						x	x	x	x				x		x	
10.9		x																
10.10		x		x	x					x					x			
	0	10	0	4	1	7	3	3	4	7	4	2	3	2	6	4	3	5
11.1		x				x				x							x	x

Standard Number	IM-138	Program Operations	Attestation	CSBG Act/45 CFR	Uniform Guidance	Additional IMs	Indiana Code	Grant Agreement	IRS Form 990	Control Activities	Risk Management	Capacity Building	Governing Board	CSBG Annual Report	ROMA Cycle	NCAP Standards of Excellence	NCAP Code of Ethics	Head Start Program
11.2		x			x			x		x								
11.3		x				x			x		x						x	
11.4		x			x	x			x	x	x				x	x	x	x
11.5		x		x		x				x					x		x	
	0	5	0	1	2	4	0	1	2	4	2	0	0	0	2	1	4	2
12.1		x			x			x	x	x			x		x		x	x
12.2		x			x			x		x					x			
12.3		x			x					x					x			
12.4		x			x			x		x						x		x
	0	4	0	0	4	0	0	3	1	4	0	0	1	0	3	1	1	2
13.1		x			x	x		x		x					x			x
13.2		x					x	x							x			
	0	2	0	0	1	1	1	2	0	1	0	0	0	0	2	0	0	1
14.1		x		x	x					x					x	x		x
14.2		x		x				x	x	x					x	x		x
	0	2	0	2	1	0	0	1	1	2	0	0	0	0	2	2	0	2
15.1		x								x		x			x	x		
15.2		x								x		x			x	x		
15.3		x								x		x			x	x		
	0	3	0	0	0	0	0	0	0	3	0	3	0	0	3	3	0	0
16.1			x	x		x		x				x			x	x	x	
16.2			x	x				x			x				x	x	x	
16.3			x	x			x					x			x		x	
16.4			x	x			x					x			x		x	
16.5			x	x		x				x		x	x		x	x	x	x
16.6			x		x	x	x	x		x	x					x	x	x
16.7			x							x	x					x		
16.8			x			x				x			x		x			
16.9			x	x		x									x	x	x	
	0	0	9	6	1	5	3	3	0	4	3	4	2	0	7	6	7	2
<b>Total (93)</b>	<b>58</b>	<b>26</b>	<b>9</b>	<b>35</b>	<b>19</b>	<b>59</b>	<b>18</b>	<b>18</b>	<b>22</b>	<b>50</b>	<b>22</b>	<b>36</b>	<b>36</b>	<b>18</b>	<b>63</b>	<b>55</b>	<b>41</b>	<b>62</b>
<b>%</b>	<b>62</b>	<b>28</b>	<b>10</b>	<b>38</b>	<b>20</b>	<b>63</b>	<b>19</b>	<b>18</b>	<b>23</b>	<b>54</b>	<b>24</b>	<b>39</b>	<b>39</b>	<b>19</b>	<b>68</b>	<b>59</b>	<b>44</b>	<b>67</b>

\* Only one association is indicated, per standard, on the matrix. However, there may be multiple sources. (i.e., Additional IMs for Standard 5.1 are IM-116, IM-102, and IM-82)

### Additional IM-138 Organizational Standards Statistical Breakdown

- Twenty-seven (27) of the fifty-eight (58) standards or forty-six percent (46%) are directly or indirectly related to actions, reviews, analysis, and/or updates of the governing board which could include these actions being documented in the governing board meeting minutes.
- Forty-Eight (48) of the fifty-eight (58) standards or eight-six (83%) are similar or related to Head Start Act (42 USC 9801) or Program Performance Standards (45 CFR Chapter XIII) requirements.

## Indiana CAA Statistics

- Area on Aging agency: Five (5) of the twenty-two (22) Indiana CAAs or twenty-three (23%)
  - Area 4, Area Five, HUEDC, NWICA, and REAL
- Covering Kids & Families of Indiana: Seven (7) of the twenty-two (22) Indiana CAAs or thirty-two percent (32%)
  - Area Five, CANI, CAPWI, ICAP, LHDC, SCCAP, and SIEOC
- Housing Choice Voucher (HCV) program: Fourteen (14) of the twenty-two (22) Indiana CAAs or sixty-four (64%)
  - Area IV, CANI, CAGI, CASI, CFS, CAPWI, HSI, HUEDC, ICAP, LHDC, NWICA, OVO, SCCAP, and SIEOC
- Head Start/Early Head Start program: Fifteen (15) of the twenty-two (22) Indiana CAAs or sixty-eight (68%)
  - Area Five, CANI, CASI, CAPE, CAPWI, HUEDC, HSI, ICAP, LHDC, OVO, PACE, SCCAP, SIEOC, TRI-CAP, and WICAA

## Auxiliary Linkages

### ROMA Cycle

Assessment	1.2, 1.3, 2.2, 3.1, 3.2, 3.3, 3.4, 3.5, 4.1, 4.2, 6.4, 9.1, 9.2, 9.3, 10.4, 10.7, 12.3, 16.3
Planning	4.1, 4.2, 4.3, 6.1, 6.2, 6.3, 6.4, 6.5, 8.9, 9.3, 10.3, 12.2
Implementation	1.1, 1.3, 2.1, 2.3, 2.4, 4.4, 5.9, 6.5, 7.1, 7.2, 7.3, 7.6, 7.8, 7.9, 9.1, 9.2, 9.3, 10.3, 10.6, 10.7, 10.8, 10.10, 11.4, 11.5, 12.1, 12.2, 12.3, 13.1, 13.2, 14.1, 16.2, 16.4
Results	1.3, 2.2, 2.3, 4.4, 5.9, 6.4, 6.5, 9.1, 9.2, 9.3, 9.4, 10.8, 14.2, 16.9
Evaluation (Analysis)	1.2, 1.3, 2.2, 3.2, 3.3, 4.4, 4.6, 5.9, 6.4, 6.5, 7.4, 7.9, 8.2, 8.6, 8.7, 9.1, 9.2, 9.3, 10.3, 10.8, 14.2, 15.1, 15.2, 15.3, 16.1, 16.5, 16.8

\* NASCSP Organizational Standards and ROMA Crosswalk, 2017 & IHCDCA

### CSBG Annual Report

Module One	1.2, 1.3, 3.3, 9.3
Module Two	1.1, 2.1, 2.2, 2.4, 6.3, 7.9, 8.7, 8.12, 10.3
Module Three	2.1, 4.4, 6.3, 9.2, 9.4
Module Four	4.4, 6.3, 9.1, 9.2, 9.4, 10.7

\* NASCSP Draft CSBG Annual Report and Organizational Standards Crosswalk, 2017 & IHCDCA

### Internal Revenue Service (IRS) Form 990

Part I	2.4, 5.1, 14.2
Part III	2.2, 4.1, 9.3, 14.2
Part IV	5.6, 8.1, 12.1
Part VI	5.1, 5.2, 5.5, 5.6, 7.5, 7.7, 8.6, 8.13, 10.1, 10.2, 10.3, 11.3, 11.4, 12.1
Part VII	5.1, 10.8
Part VIII	14.2
Part IX	14.2
Part X	8.1, 8.4, 14.2

\* IHCDCA developed crosswalk

### Community Action Partnership Code of Ethics, 2023

One (Mission)	3.1, 4.1, 4.2, 6.2, 6.3, 9.3, 16.1, 16.3, 16.4
Two (Advocate)	2.3, 3.1, 3.4, 10.5, 16.2, 16.4
Three (Inspire)	1.2, 1.3, 2.2, 3.3, 3.4, 5.8, 5.9, 7.4, 7.6, 7.7, 7.9, 8.3, 8.7, 9.3, 10.5, 11.4, 12.1, 16.6, 16.9
Four (Self-Service)	5.6, 10.5, 11.1, 11.4, 12.1
Five (Leadership)	1.3, 4.4, 4.6, 5.8, 5.9, 6.5, 7.9, 8.7, 8.9, 8.10, 9.3, 10.5, 10.6, 10.8, 11.3, 11.5, 16.1, 16.3, 16.4
Six (Excellence)	1.3, 4.4, 9.3, 16.5

\* IHCD developed crosswalk

### Community Action Partnership Standards of Excellence (SOE), 2021

Category 1: Leadership	[1.1] 1.2, 2.2, 3.4, 4.2, 4.4, 4.5, 6.1, 6.5, 9.3, 10.4, 10.5, 10.6, 15.1, 15.2, 15.3, 16.2 [1.2] 4.1, 6.2, 7.7, 7.8, 7.9, 8.11, 10.5, 10.6, 11.4 [1.3] 1.1, 1.2, 1.3, 4.4, 5.1, 5.5, 5.8, 6.5, 9.3, 10.6 [1.4] 1.3, 3.5 [1.6] 2.2, 3.1, 3.4, 16.1
Category 2: Strategic Planning	[2.1] 4.3, 6.1, 6.2, 6.3, 6.5 [2.2] 4.3, 6.1, 6.4, 6.5 [2.3] 16.1 [2.4] 6.3, 6.5
Category 3: Customer/Partner	[3.2] 1.3 [3.4] 2.1
Category 4: Performance Management	[4.1] 10.7 [4.3] 9.3
Category 5: Human Resources	[5.1] 7.1, 10.5, 10.6, 16.6, 16.7 [5.2] 7.3, 7.6 [5.4] 7.8 [5.5] 10.4
Category 6: Process Management	[6.1] 5.8, 8.9, 8.10 [6.3] 8.11, 12.4 [6.4] 2.3
Category 7: Organization Results	[7.1] 2.3, 6.3, 9.3 [7.2] 1.2, 1.3, 3.3, 6.4, 9.3 [7.4] 2.2, 16.1 [7.6] 4.6, 8.1, 8.2, 8.7, 8.9, 8.12, 14.1, 14.2, 15.1, 15.2, 15.3, 16.5, 16.9

\* IHCD developed crosswalk, [SOE Reference Number]

### Head Start Program

42 USC 9837	1.1, 2.1, 3.1, 3.5, 4.4, 5.1, 5.6, 5.7, 5.8, 5.9, 6.1, 7.1, 7.4, 7.5, 7.7, 7.9, 8.1, 8.2, 8.3, 8.4, 8.5, 8.7, 8.9, 8.10, 9.3, 10.3, 10.4, 10.5, 11.1, 11.4, 12.1, 12.4, 13.1, 14.1, 14.2, 16.5
42 USC 9839	2.3, 9.1, 9.3, 10.4, 11.4
42 USC 9840	7.3, 9.4
42 USC 9842	8.1, 8.2, 8.4, 8.5
42 USC 9843	7.3
42 USC 9848	7.5
42 USC 9849	10.6
42 USC 9858	10.4
45 CFR 1301	1.1, 1.3, 3.5, 4.4, 5.1, 5.6, 5.7, 5.8, 5.9, 7.1, 7.4, 7.5, 8.7, 8.9, 8.10
45 CFR 1302	1.1, 1.2, 1.3, 2.1, 2.2, 2.3, 2.4, 3.1, 3.2, 3.3, 3.4, 4.2, 4.3, 4.6, 5.7, 5.8, 6.1, 6.2, 6.3, 6.4, 6.5, 7.1, 7.2, 7.3, 7.4, 7.5, 7.7, 7.9, 9.1, 9.2, 9.3, 10.7, 16.6
45 CFR 1303	2.4, 4.6, 8.1, 8.11, 8.13, 16.6

\* CAPLAW CSBG Organizational Standards + Head Start Performance Standards Crosswalk, 2018 & IHCD