

**MINUTES OF THE MEETING OF  
THE INDIANA STATE ETHICS COMMISSION  
December 8, 2022**

**I. Call to Order**

A regular meeting of the State Ethics Commission (“Commission”) was called to order at 10:00 a.m. Commission members present were Katherine Noel, Chair; Corinne Finnerty, Rafael Sanchez, and John Krauss. Office of Inspector General staff present included Tiffany Mulligan, Chief of Staff and Chief Legal Counsel; Sean Gorman, State Ethics Director; Mark Mader, Staff Attorney; Doreen Clark, Staff Attorney; Mike Lepper, Special Agent; and Sam Stearley, Special Agent.

Others present were Jessica Keyes, Ethics Officer, Family and Social Services Administration; Beth Green, General Counsel and Ethics Officer, Indiana Department of Workforce Development; George Dremonas, Ethics Officer, Indiana Department of Financial Institutions; Christina Reagle, Commissioner, Indiana Department of Correction; Nikki Tafoya, Executive Director of Physical Health, Indiana Department of Correction; Alicia Coomer, Director of Physical Health, Indiana Department of Correction; and Anna Quick, Chief Legal Officer, Indiana Department of Correction.

**II. Adoption of Agenda and Approval of Minutes**

Commissioner Krauss moved to adopt the agenda, and Commissioner Sanchez seconded the motion, and the Commission passed the agenda (4-0).

Commissioner Sanchez moved to approve the Minutes of the November 10, 2022, Commission Meeting, and Commissioner Krauss seconded the motion, which passed (4-0).

**III. Consideration of Waiver of Post-Employment Restrictions for Alicia Coomer**

Christina Reagle, Commissioner of the Indiana Department of Correction, presented the proposed Waiver of Post-Employment Restrictions in this matter to the Commission for their approval.

Commissioner Sanchez moved to approve the Waiver, and Commissioner Krauss seconded the motion, which passed (4-0).

**IV. Consideration of Agreed Settlement**

In the Matter of Bridget Murphy  
Case Number 2020-02-0143  
Mark Mader, Staff Attorney  
Indiana Office of Inspector General

Mark Mader presented the proposed Agreed Settlement in this matter to the Commission for their approval.

Commission Chair Noel moved to approve the Agreed Settlement and Commissioner Sanchez seconded the motion which passed (4-0).

## **V. Ethics Director's Report**

State Ethics Director Sean Gorman reported that OIG has issued 15 IAOs since the November SEC meeting. The IAOs mostly dealt with Post-Employment, Outside Employment, Conflicts of Interest, and Gifts.

Director Gorman attended the annual Council on Governmental Ethics Laws' (COGEL) conference held in Montreal, Quebec from December 4 through 7. COGEL is a professional organization primarily for governmental agencies administering ethics oversight programs at the state, regional, and local levels in US government as well as internationally. Director Gorman reported that there were many different approaches to structure and jurisdiction represented, from organizations that dealt with limited jurisdictions within government and limited matters to those that, unlike Indiana's Ethics Commission, oversee election finance oversight programs and state and local governmental operations. Director Gorman provided examples of topics that were discussed, such as the practice that some states encourage of listing typical fine schedules for certain types of violations.

The 2022 Legal and Ethics Conference took place on November 15, 2022. Presentations (PowerPoint presentations) are now posted on OIG website. There was great turnout for the event, and OIG received positive feedback from attendees. It was also announced that Jessica Keyes was recognized with the Inspector General's award for excellence for her work this year in her role as FSSA ethics officer.

Preparations are under way for a series of Ethics Officer Roundtable events during the 2023 calendar year. The OIG is aiming for four events to provide an opportunity for agency ethics officers to discuss challenges, experiences, and questions in their roles. Director Gorman will be there to facilitate discussion, but the plan is to have the meeting topics be driven by the ethics officers themselves and to be able to take questions and feedback for the Office of Inspector General and the State Ethics Commission.

Finally, Director Gorman informed the Commission that OIG is pursuing legislation during the upcoming state General Assembly's legislative session to add OIG agents to an existing statute that provides a death benefit for state law enforcement officers who die in the line of duty. The OIG agent exclusion from the statute was likely an oversight. Director Gorman also provided that OIG staff will be monitoring the legislative session for any proposed bills that may impact OIG or SEC concerns.

**VI. Adjournment**

Commissioner Krauss moved to adjourn the public meeting of the State Ethics Commission. Commissioner Sanchez seconded the motion, which passed (4-0).

The public meeting adjourned at 10:32 a.m.