

**MINUTES OF THE MEETING OF
THE INDIANA STATE ETHICS COMMISSION
January 12, 2022**

I. Call to Order

A regular meeting of the State Ethics Commission (“Commission”) was called to order at 10:00 a.m. Commission members present were Katherine Noel, Chair; Sue Anne Gilroy; and John Krauss. Office of Inspector General (OIG) staff present included David Cook, Inspector General; Tiffany Mulligan, Chief of Staff and Chief Legal Counsel; Sean Gorman, State Ethics Director; Mark Mitchell, Director of Investigations; Mark Mader, Staff Attorney; Doreen Clark, Staff Attorney; and Mike Lepper, Special Agent.

Others present were Jessica Keyes, Ethics Officer, Family and Social Services Administration; Beth Green, General Counsel and Ethics Officer, Indiana Department of Workforce Development; George Dremonas, Ethics Officer, Indiana Department of Financial Institutions; Mattheus Mitchell, Compliance and Ethics Specialist, Indiana Department of Revenue; Keith Beesley, General Counsel, Indiana State Personnel Department; Maureen Webber, Early Learning Advisory Committee Chair, Family and Social Services Administration.

II. Adoption of Agenda and Approval of Minutes

Commissioner Krauss moved to adopt the agenda, Commissioner Gilroy seconded the motion, and the Commission passed the agenda (3-0).

Commission Chair Noel moved to approve the Minutes of the December 8, 2022, Commission Meeting, and Commissioner Krauss seconded the motion, which passed (2-0). Commissioner Gilroy abstained as she was not present at the December 8, 2022 meeting.

III. Request for Formal Advisory Opinion

2022-FAO-002

Maureen Weber, Chair, Early Learning Advisory Committee, Office of Early Childhood and Out of School Learning

Jessica Keyes, General Counsel and Ethics Officer
Family and Social Services Administration

Commissioner Gilroy moved that the Commission decline to issue an opinion upon learning that the request involved past conduct. Commissioner Krauss seconded the motion which passed (3-0).

IV. Consideration of the Final Report

In the Matter of Courtney Creasey
Case Number 2022-06-0168

State Ethics Director Sean Gorman presented the Final Report regarding the Agreed Settlement in the Matter of Courtney Creasey for approval, which was signed by all Commissioners present.

V. Consideration of the Final Report

In the Matter of Bridget Murphy

Case Number 2020-05-0143

State Ethics Director Sean Gorman presented the Final Report regarding the Agreed Settlement in the Matter of Bridget Murphy for approval, which was signed by all Commissioners present.

VI. Consideration of Agreed Settlement

In the Matter of John L. Galipeau

Case Number 2020-02-0030

Tiffany Mulligan, Chief of Staff and Chief Legal Counsel


OIG

Tiffany Mulligan presented the proposed Agreed Settlement in this matter to the Commission for their approval.

Commission Chair Noel moved to approve the Agreed Settlement, and Commissioner Gilroy seconded the motion, which passed (3-0).

VII. OIG Summary of Past Agreed Settlements

Tiffany Mulligan, Chief of Staff and Chief Legal Counsel, OIG

OIG Chief of Staff and Chief Legal Counsel Tiffany Mulligan presented information to the Commission regarding previous Agreed Settlements brought before the Commission on ethics cases. Ms. Mulligan explained the factors the OIG considers when proposing and negotiating an Agreed Settlement, including but not limited to, whether the Respondent admits to the violation, the egregiousness of the violation, harm to the public, how the settlement is perceived by other state employees and special state appointees, and the certainty of the result. 

VIII. Ethics Director's Report

State Ethics Director Sean Gorman reported that OIG has issued 24 IAOs since the December SEC meeting. The IAOs mostly dealt with Post-Employment, Outside Employment, Conflicts of Interest, Nepotism, and Gifts.

Director Gorman also provided an update on the upcoming Ethics Officer Roundtable events for 2023. The OIG has scheduled four events to provide an opportunity for agency ethics officers to discuss challenges, experiences, and questions in their roles. Director Gorman will be there to facilitate discussion, but the plan is to have the meeting topics be driven by the ethics officers themselves and to be able to take questions and feedback for the OIG and the State Ethics

Commission. Director Gorman also explained how the OIG takes time to meet with new agency appointing authorities with an introduction about the OIG and Ethics Commission.

He continued that the OIG is monitoring relevant legislative items now that the General Assembly has started their first 2023 session. Director Gorman also provided that OIG staff will be monitoring the legislative session for any proposed bills that may impact OIG or SEC concerns.

Finally, Director Gorman reported that the annual Financial Disclosure Statement filing period began at the beginning of January. Required state personnel are required to file a Disclosure with the OIG by February 1, 2023. OIG Legal Assistant Nathan Baker is coordinating the filing period effort.

IX. Adjournment

Commissioner Gilroy moved to adjourn the public meeting of the State Ethics Commission. Commissioner Krauss seconded the motion, which passed (3-0).

The public meeting adjourned at 10:49 a.m.