In the Matter Of:

INDIANA HORSE RACING COMMISSION MEETING

Transcript of Proceedings

September 28, 2022



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3	INDIANA HORSE RACING COMMISSION MEETING
4	HELD ON
5	SEPTEMBER 28, 2022
6	2:00 P.M.
7	
8	INDIANA STATE LIBRARY
9	315 WEST OHIO STREET
10	INDIANAPOLIS, INDIANA
11	
12	
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16	
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1	APPEARANCES					
2	Philip Borst, DVM, Chairman Bill Estes					
3	George Pillow Gus Levengood					
4						
5	Deena Pitman, Executive Director Tom Linkmeyer, Assistant Executive Director					
6	Matthew Eggiman, Esq. Dale Pennycuff, Esq.					
7	INDIANA HORSE RACING COMMISSION					
8	1302 North Meridian Street, Suite 175 Indianapolis, IN 46202					
9	AGENDA					
LO	1. Consideration of Recommended Order Granting Default Judgment in the matter of IHRC v. Samuel					
L1	Mendez	3				
L2	2. Readoption of administrative rules scheduled to expire.	8				
L3		O				
L4	3. Review and consideration of the following IHRC emergency rules	L O				
L5	4. Review of Commission rulings	L 4				
L6	5. Ratify Executive Director Pitman's approval of	10 mmission rulings 14 live Director Pitman's approval of Park's request to amend the expected enditure Equipment Plan and substitute				
L7	Harrah's Hoosier Park's request to amend the expected 2022 Capital Expenditure Equipment Plan and substitute					
L8	with an alternative 2022 purchase.	_5				
L9	6. Ratify Executive Director Pitman's approval of Caesars Debt (refinance) Transaction	L9				
20	7. HISA update from a racing perspective given by to Commission's Senior State Steward Eric Smith.	the 22				
21						
22	New Business - Request for IHRC delegate authority to approve any 2022 race matters prior to next Commission					
23	meeting to Executive Director Pitman 2	27				
24						
25						

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1
          CHAIRMAN BORST: We will call the meeting of
 2
     the Indiana Horse Racing Commission to order.
 3
     First we will swear in Robin.
          (At this time the oath was administered to the
 4
 5
     court reporter by Chairman Borst.)
 6
          CHAIRMAN BORST: First on the agenda is the
     approval of the June 29, 2022 meeting and executive
 7
     session. Dale, anything to add to that?
 8
 9
          MR. PENNYCUFF: No, Chairman.
10
          CHAIRMAN BORST: I will take a motion and a
11
     second then.
12
          COMMISSIONER PILLOW: I make the motion to
13
     accept the minutes of the June 29th meeting.
14
          COMMISSIONER ESTES:
                               I second.
15
          CHAIRMAN BORST: Been moved and seconded.
     discussion?
16
17
          (No response.)
          CHAIRMAN BORST: Seeing none, all those in
18
19
     favor say "aye."
20
          THE COMMISSION:
                          "Aye."
21
          CHAIRMAN BORST:
                           Opposed?
2.2
          (No response.)
23
          CHAIRMAN BORST: Passes unanimously.
          Next is consideration of recommended order
24
25
     granting default judgment in the matter of IHRC
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Staff versus Samuel Mendez. Matt, I think you are going to be representing the Commission.

2.

MR. EGGIMAN: Agenda item number one is your consideration of the Recommended Order of Default Judgment in favor of petitioner, Indiana Horse Racing Commission Staff, issued by Administrative Law Judge Michael Buker in the matter of Indiana Horse Racing Commission Staff versus Samuel Mendez. For this agenda item, I will act as your counsel. Commission Staff is and has been represented by Mr. Dale Pennycuff to my right. Mr. Mendez is not present today nor is he represented by counsel in this matter.

The case evolves from Administrative Complaint No. 221001 filed on or about November 17, 2021 by Executive Director Deena Pitman alleging that Mr. Mendez had violated Indiana Code 4-31-12-20 by possessing a battery or other electrical device that may be used to affect speed or reaction of a racehorse on at least three occasions on the premises of Indiana Grand, now known as Horseshoe Indianapolis. This included the use of the device during a race held on September 4, 2021.

The matter was scheduled to be heard by ALJ Buker. However, on or about January 12, 2022,

counsel for Mr. Mendez was granted withdrawal from further representation of Mr. Mendez. Following counsel's withdrawal, a telephonic prehearing was conducted with the parties, including a Spanish interpreter for Mr. Mendez. ALJ Buker made it abundantly clear to Mr. Mendez the importance of legal representation in the matter. On March 2, 2022, another telephonic prehearing was conducted with the parties, and Mr. Mendez indicated his desire to be represented by counsel. ALJ Buker gave Mr. Mendez until March 12 to retain counsel.

Since that time, Mr. Mendez neither retained counsel nor requested an extension of time in which to do so. The matter proceeded with several scheduling orders issued by ALJ Buker. And despite those orders and countless attempts by Commission Staff to engage Mr. Mendez in the matter, Mr. Mendez has not participated at all in the case since the March 2, 2022 telephonic prehearing.

The Administrative Orders and Procedures Act, also known as AOPA, in the Indiana Code, which provides the statutory requirements for conducting administrative law proceedings, contains a section that allows the administrative law judge to issue a proposed default order if one of four events occurs

during the proceeding. Mr. Mendez failed on at least two of those. He failed to attend or participate in a prehearing conference, hearing, or other part of the proceeding and take, and he took no action on the matter for a period of at least 60 days if the party is responsible for taking the action.

2.2

ALJ Buker issued a proposed default order on July 13, 2022, six days after an evidentiary hearing was scheduled, convened, and then continued. Mr. Mendez had not attended that evidentiary hearing. Pursuant to AOPA, Mr. Mendez had seven days to file a response to the proposed default order, or ALJ Buker was required to issue a Final Recommended Order of Default Judgment. With no response from Mr. Mendez, on July 20, 2022, ALJ Buker issued the Recommend Order you have in front of you for your consideration today.

Commissioners, feel free to ask any questions. It must be noted that Mr. Mendez did not file an objection to ALJ Buker's Recommended Order of Default Judgment. In fact, pursuant to statute, he is prohibited from filing an objection anyway due to being in default. Therefore, the Commission really has only one option today, and that is to

affirm the Recommended Order.

2.

If you have any questions, we can certainly take them. ALJ Buker has been kind enough to be here as well if you have any questions.

CHAIRMAN BORST: Any questions? I guess it struck me this is probably the most severe penalty I've seen, \$10,000 and a 20-year suspension. I was wondering what triggered that. I mean, what he did was not good. I'm just surprised at 20 years and \$10,000. So maybe somebody can explain.

EXECUTIVE DIRECTOR PITMAN: Yes, Chairman, one of the reasons why this one was a more severe penalty is because we had information that and testimony, quite frankly, that it was not a one-and-done situation. It was multiple times. And that's what increased the penalty on this, in addition to the fact also that we probably had five or six of these same cases, not as egregious as this particular one, but it's obvious to me that it keeps happening. And it seems like the ten years isn't necessarily making an impact.

CHAIRMAN BORST: None of that was in here so that's why I didn't know. I figured there had to be something.

Any further questions? If not, we will

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entertain a motion and second.
 1
 2
          COMMISSIONER PILLOW:
                                So moved.
 3
          COMMISSIONER ESTES: Seconded.
 4
          CHAIRMAN BORST: Been moved and seconded to
 5
     accept, I guess, the recommendation I guess is how
     I will say it. Any further discussion?
 6
 7
          (No response.)
                          All those in favor say "aye."
 8
          CHAIRMAN BORST:
 9
          THE COMMISSION:
                          "Aye."
          CHAIRMAN BORST: Opposed?
10
11
          (No response.)
12
          CHAIRMAN BORST: The "ayes" have it
13
     unanimously. Thank you for attending today too.
14
     Thank you for all your work.
15
          MR. BUKER:
                      Sure.
16
          CHAIRMAN BORST: It's unheralded stuff.
17
     sure you get tired of hearing the same thing, but
18
     we appreciate it. Very important part of horse
19
     racing in Indiana. Okay.
20
          Next on the agenda is the readoption of
21
     administrative rules scheduled to expire. Dale, do
2.2
     you want to take that one?
23
          MR. PENNYCUFF: Yes. Like other
24
     administrative agencies, the Commission's
25
     administrative rules automatically expire every
```

seven years. However, the agency is given the opportunity to readopt the rules so long as the rule language remains identical. There are a number of rules scheduled to expire at the end of the year, each of which is listed in the Notice of Intent to Readopt labeled LSA document 22-265.

2.

2.2

Commission Staff posted the rules on the Legislative Service Agency's website as required by statute. This gives an opportunity for members of the public to ask us to consider making changes to the rules or readopt them separately. We did not have any requests to do so. We also shared the list of readoptions with the horsemen's associations and Caesars just for informational purposes.

At this time, Commission Staff respectfully requests the Commission's approval for these rules to be readopted without change before the end of the year.

CHAIRMAN BORST: Okay. Any questions, comments? This is one good thing the state of Indiana does, checking the rules every seven years. Sometimes you've got stuff sitting around, and it shouldn't be. This gives the opportunity to get a fresh look at it.

```
1
          Okay. Any questions? If not, take a motion.
 2
          COMMISSIONER ESTES: So moved.
 3
          COMMISSIONER PILLOW:
                                I second it.
 4
          CHAIRMAN BORST: Moved and seconded to readopt
     the administrative rules about ready to expire.
 5
     Any members of the public wish to speak?
 6
                                               I know
     you've had a chance already, but you never know.
 7
          Seeing none, all those in favor say "aye."
 8
 9
          THE COMMISSION:
                          "Aye."
10
          CHAIRMAN BORST:
                          Opposed?
11
          (No response.)
          CHAIRMAN BORST: The "ayes" have it
12
13
     unanimously.
14
          Next is the review and consideration of the
15
     following Indiana Horse Racing Commission emergency
16
     rules, Thoroughbreds and Standardbred. Matt, do
     you want to take that one?
17
18
          MR. EGGIMAN:
                        Yes. Agenda item number three
19
     is the proposed emergency rules which cover harness
20
     racing and flat racing. The rule packet in your
21
     meeting booklets was provided to stakeholders with
2.2
     request for any comments or suggestions. We
23
     received no public comments. The matching
24
     Standardbred rule was not included in the rules we
25
     sent out for review. There was a clerical
```

correction in reference to the most recent ARCI model rules. We failed to include the Standardbred. So it was added later as a housekeeping item.

2.

2.2

The current version of these proposed rules was posted on the IHRC website about last Wednesday under Commission meeting information for September 28, 2022. There may be one or more individuals wishing to make a statement this morning.

Although these rules are presented on the agenda separately, the Commission typically casts a single vote in favor or opposition to the passage of the emergency rules as a single document, which is then sent to the Legislative Services Agency.

Under the Commission's Emergency rulemaking authority, the rules will go into effect as soon as they are filed. At this time Commission Staff respectfully requests the approval of the rules listed in the agenda and provided in the meeting materials.

CHAIRMAN BORST: Thank you. Any Commission questions?

COMMISSIONER LEVENGOOD: How many horses are either chipped or tattooed? Does anybody know?

Is it 50/50, 10/20?

2.

2.2

EXECUTIVE DIRECTOR PITMAN: Dr. Peterson, would you like to respond to that?

DR. KERRY PETERSON: So currently now, it's required for Thoroughbreds to be microchipped so all young Thoroughbreds are microchipped. So that's the majority unless they're --

COMMISSIONER LEVENGOOD: When was that?

DR. KERRY PETERSON: So anything 2018 and older have chips. All Standardbreds as of this year had to be chipped, but a lot of them still have freeze brand. But Quarter Horses right now are all lip tattooed.

COMMISSIONER LEVENGOOD: So it will be how many years total when you work through the system in your quess?

DR. KERRY PETERSON: So it depends on the longevity of the Thoroughbreds that are still not required to have chips. So the older Thoroughbreds that are still racing don't necessarily have to have a chip, but a lot of them do. But the Quarter Horses are still the lone holdout for uniform microchipping, but we are hoping that will take place here soon.

COMMISSIONER LEVENGOOD: Thank you.

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1
          CHAIRMAN BORST: I suppose in the future then,
 2.
     these will not be stated as revised by ARCI.
 3
     will be HISA, I suppose, in the future.
 4
          EXECUTIVE DIRECTOR PITMAN: Depending on the
     breed. So for Thoroughbred, yeah. And there
 5
     are -- well, we're not sure how many of the
 6
     medication rules will be overridden or preempted by
 7
     HISA, but the majority probably will be. We are
 8
 9
     figuring that out right now.
10
          CHAIRMAN BORST: Will it be next month?
                                                   Τs
11
     that what they told us, October we should have some
12
     indication?
13
          EXECUTIVE DIRECTOR PITMAN: We will see.
14
          CHAIRMAN BORST: Everything else has been slow
15
     so probably won't be.
16
          EXECUTIVE DIRECTOR PITMAN: Except the bills.
          CHAIRMAN BORST: Of course, government's got
17
18
     to get paid, right.
19
          Okay. Any further comments by the public?
20
          I'll take a motion and second again on
     No.
21
     adoption.
2.2
          COMMISSIONER ESTES: I'll make a motion.
23
          COMMISSIONER PILLOW: I'll second it.
24
          CHAIRMAN BORST: Not very enthusiastic. Poor
25
     quy's got a condominium down in Florida getting
```

```
1
     smacked right now and probably won't be there.
                                                      So
     we'll give him a little courtesy.
 2.
 3
          All those in favor say "aye."
 4
          THE COMMISSION:
                           "Aye."
 5
          CHAIRMAN BORST:
                          Opposed?
 6
          (No response.)
          CHAIRMAN BORST: "Ayes" have it unanimously.
 7
          Next one is just the review of Commission
 8
 9
     Rulings. No vote on this. If you have any
10
     questions on any of these, there's quite a few of
11
            Quite a few whip violations this time, more
     them.
12
     than there used to be because of the changes, but I
13
     noticed that right off there are a whole lot of
14
             I don't think we're going to have a
15
     whipping demonstration today. I'm not sure.
16
          COMMISSIONER LEVENGOOD: We have had that in
17
     the past.
18
          EXECUTIVE DIRECTOR PITMAN: No, I quess not.
19
          CHAIRMAN BORST: No, you don't want to do it
20
             Sorry, Brian. That was the highlight of my
     again.
     day. I had never seen that one before. I tried to
21
2.2
     explain that to my wife.
23
          COMMISSIONER LEVENGOOD: I did too.
24
          CHAIRMAN BORST: You had to be there to
     understand.
25
```

Next is ratify Executive Director Pitman's approval of Harrah's Hoosier Park's request to amend the expected 2022 Capital Expenditure Equipment Plan and substitute with an alternate 2022 purchase.

2.2

Matt, I guess you're going to take that and talk about gators and stuff.

MR. EGGIMAN: Thank you, Chairman Borst.

Commissioners, pursuant to the Commission's Final

Order from the petition of Eldorado Resorts

International for the IHRC to approve the permit

transfer dated July 15, 2020, and I'll quote from

the order "ERI covenants to materially comply with

the schedule for equipment replacement through 2033

as set forth in the titled "Hoosier Park and

Indiana Grand Equipment Summary, Revised May 18,

2018" and understands that any material deviation

from this schedule is subject to approval by the

Commission or its Executive Director, which

approval shall not be unreasonably withheld."

As you can see from the letter in your packets, Hoosier Park is requesting a substitution of equipment from its original 2022 equipment list to better fit its needs. The resulting equipment purchase, a snowblower instead of purchasing all 14

Gators it anticipated needing, is approximately
4.2 percent higher than what Hoosier Park intended
to spend on all of those Gators for 2022. When
compared to Hoosier Park's total capital
expenditures for 2022, that overage is only .003
percent increase.

2.2

Executive Director Pitman has already given approval to Hoosier Park for the equipment substitution. Commission Staff respectfully requests the Commission ratify Executive Director Pitman's approval of Harrah's Hoosier Park's request to amend the expected 2022 Capital Expenditure Equipment Plan and substitute with an alternate 2022 purchase, a needed snowblower.

Commissioners, feel free to ask any questions. Public as well. I'm sure Mr. Rick Moore would be more than willing to clarify anything for you.

CHAIRMAN BORST: It's always a good thing to buy what you need, not just because it's on the plan. A snowplow would probably come in handy.

RICK MOORE: Mr. Chairman, Members of the Commission, Executive Director Pitman, Staff, you're right, Chairman, exactly right, particularly with now having the winter training, that snowblower, which is actually an attachment to the

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1
     skid steer, which was on the equipment list last
     year, will be invaluable in blowing snow off the
 2
 3
     racetrack and away from the barns where the horses
 4
     are during the winter. It will really be a nice
 5
     piece of equipment.
          Ouite honestly, when we looked at it, we just
 6
     couldn't justify all the Gators that are on that
 7
           This is really going to be a nice piece of
 8
 9
     equipment for us. I appreciate Executive Director
10
     Pitman giving us the approval.
11
          CHAIRMAN BORST:
                          Okay. Anybody have any
12
     questions?
13
          COMMISSIONER LEVENGOOD: What do you do with
14
     the old ones?
15
          RICK MOORE: The old ones, we trade those in.
          COMMISSIONER LEVENGOOD:
16
                                   Because I didn't see
17
     any trade value on your bill of sale. Do you do
18
     those separately?
19
          RICK MOORE: We didn't put that in there, but
20
     we can get that for you.
21
          COMMISSIONER LEVENGOOD: It would be great
2.2
     just to know.
23
          RICK MOORE:
                      Pardon me?
24
          COMMISSIONER LEVENGOOD: It would be nice to
25
     know.
```

```
1
          EXECUTIVE DIRECTOR PITMAN: You can just send
 2.
     it to me.
 3
          CHAIRMAN BORST: Okay. Anything else? Seeing
     none, any members of the public have anything to
 4
 5
     say about Gators or snowblowers, or things like
     that?
 6
          EXECUTIVE DIRECTOR PITMAN: I'm learning that
 7
     John Deere carries a lot of equipment.
 8
 9
          CHAIRMAN BORST: Of course, the chairman of
10
     John Deere is a Purdue graduate. Got to work that
11
     in there. Makes sense, I quess, right. He gives
12
     quite a bit of money back to Purdue too.
13
          Seeing no further discussion, how about a
14
     motion and a second.
15
          COMMISSIONER PILLOW: I have a motion we make
16
     Rick's life real comfortable.
          COMMISSIONER ESTES: And I second.
17
          CHAIRMAN BORST: Been moved and seconded to
18
19
     accept this and make Rick's life so you don't have
20
     to get out there and hand shovel.
21
                       That's a good thing.
          RICK MOORE:
2.2
                           That I'd like to see.
          CHAIRMAN BORST:
                                                  All
23
     right. All those in favor say "aye."
24
          THE COMMISSION:
                           "Aye."
          CHAIRMAN BORST: Opposed?
25
```

1 (No response.) CHAIRMAN BORST: The "ayes" have it 2 3 unanimously. 4 RICK MOORE: Thank you, Mr. Chairman. CHAIRMAN BORST: Next is to ratify Executive Director Pitman's approval of Caesars Debt 6 Transaction pursuant to Indiana Horse Racing 7 Commission Rule 71 IAC 11-1-12. Matt is going to 8 9 take that one. Thank you, Chairman Borst. 10 MR. EGGIMAN: 11 12 13

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Commissioners, pursuant to 71 IAC 11-1-12(a)(5), the Commission or the Executive Director must approve any contract between a permit holder and any party that equals or exceeds \$50 million in value. In early August, Caesars Entertainment, Incorporated provided notice to the IHRC that it intended to undertake debt refinancing transactions. Caesars intends to use the proceeds of the potential financing transaction to make strategic business decisions. They are confident that these potential transactions are in the best interest of the company.

In accordance with Indiana Code 4-33-4-21, the proposed financing transactions do not result in the lease, hypothecation, or borrowing against

Harrah's Hoosier Park and Casino or Horseshoe
Indianapolis Racing and Casino. In addition, these
new financings do not change the terms of the
existing put-call contained in the lease with VICI,
nor is the Commission being asked to review the
put-call at this time.

2.

2.2

Pursuant to applicable securities and Indiana public access laws, such potential activities, including the transaction terms and structure, were described in detail to the Commission but are kept confidential and not subject to public disclosure. This process to undertake and receive approval for a debt financing transaction is longstanding and utilized not only by Caesars but other Indiana casino owner's licensees.

Information concerning the debt activity and required pursuant to Indiana Gaming Commission regulations was provided to the Indiana Gaming Commission and IHRC. The Gaming Commission issued a confidential report on September 9, 2022, evaluating the potential activities. After conducting a review on September 14, 2022, the Gaming Commission approved of these potential activities, and ratification of the approval was placed on the agenda for its September 27, 2022,

business meeting and was indeed ratified yesterday.

2.

2.2

On September 15, 2022, after consulting with Chairman Borst, Executive Director Pitman issued an interim approval based on the review conducted by the Gaming Commission and its confidential report, as well as the Gaming Commission's interim approval.

Commission Staff respectfully requests that the Commission ratify Executive Director Pitman's interim approval concerning the proposed transactions. Mr. Jeff Hendricks from Caesars is here to take any questions that you may have.

CHAIRMAN BORST: Anything you'd like to say in defense?

JEFF HENDRICKS: Good afternoon, Chairman,
Commissioners, Executive Director, Staff. I just
want to say we much appreciate the staff's quick
review of these matters. It's a lot of information
on a fairly complicated matter. Staff handled that
with expediency and professionalism. I want to say
thank you. We appreciate that. And to the extent
I can, I would be happy to answer any questions
here or provide you any additional information.

CHAIRMAN BORST: Anybody have any questions?

Indeed it was a complicated matter, but we're glad

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1
     the Gaming Commission did their due diligence and
 2.
     looked it all over, and everything is on the up and
 3
     up.
 4
          COMMISSIONER PILLOW: Is Caesars refinancing
 5
     any of their other properties?
          JEFF HENDRICKS:
                           This is a corporate matter,
 6
     and I can say specifically it has no direct impact
 7
     on the Indiana racing licensees.
 8
          CHAIRMAN BORST: Anything else? All right.
 9
10
     If not, I'll accept a motion and second to ratify
11
     the approval.
12
          COMMISSIONER ESTES: Move to accept.
13
          COMMISSIONER PILLOW: I'll second that motion.
14
          CHAIRMAN BORST: Been moved and seconded.
     no further discussion, all those in favor say
15
16
     "aye."
17
          THE COMMISSION:
                          "Aye."
18
          CHAIRMAN BORST:
                          Opposed?
19
          (No response.)
20
          CHAIRMAN BORST: The "ayes" have it
     unanimously. Thank you for being here.
21
2.2
          Next is the HISA update from a racing
23
     perspective given by the Commission's Senior State
     Steward Eric Smith. We appreciate you
24
25
     in-the-trench view of what's going on.
```

Good afternoon, Commissioners. 1 ERIC SMITH: We're approaching 90 days since HISA has taken 2 3 affect, and we're approaching 50 race days at 4 Horseshoe Indianapolis since implementation of 5 In those approximately 50 days, we have issued 25 rulings under the HISA rules. Each of 6 those have been for a riding crop violation. 7 each of those riding crop violations have been 8 Class 3 variety, which is the least severe by the 9 10 HISA guidelines. That means that the jockey struck 11 the horse one to three times more than his allotted 12 six strikes. Fortunately, we've had no jockey go 13 four more strikes over the limit, which would 14 result in a purse redistribution. The total result of these 25 rulings is \$6,350 in fines and 32 days 15 16 in suspensions to the riders.

We've had two claims voided that otherwise would not have been voided under the previous Indiana rule simply by virtue of different criteria for what constitutes a lame horse post race. And we've made one referral to the HISA Racetrack Safety Committee for a rules violation that, according to HISA, falls outside the jurisdiction or authority of the stewards.

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It was a significant rule violation. And it

occurred in the first week that we were under HISA oversight. The referral was made the day after the violation was observed. And to date, we have not been contacted by anyone from HISA in regard to that violation, nor have they even acknowledged that has been referred to them.

2.

2.2

Fortunately, we did have a rule that was not preempted by HISA. We have a rule in the Indiana Code that allowed us to take action. And the person or persons of interest are at the time being presently summarily suspended pending further adjudication of the case.

I think when HISA was introduced and forced upon all of us, we were told that it would be in the best interest of the sport. We were told it would result in greater uniformity. And I can confidently say that I've seen absolutely no increase in uniformity between the jurisdictions since HISA has taken affect. We're still hearing stories from jockeys and conversations with other stewards in other jurisdictions, they're interpreting the riding crop rule differently, what constitutes a strike, what doesn't constitute a strike. In short, there's no more uniformity than there was before. With that, I would be happy to

1 take any questions you might have. CHAIRMAN BORST: I got loss on the first 25. 2. Those are HISA violations, but you can take care of 3 4 those and didn't have to go to HISA? FRIC SMITH: 5 There are three areas that HISA allows the stewards to rule on directly rather than 6 7 referring back to HISA. That is riding crop violations, Rule 2280; anything to do with 8 9 shockwave treatment, or electrical device or 10 battery device. Those three things we have a 11 ruling for on procedure to go through at the 12 stewards level. Anything else in the HISA 2000 13 series of rules, which is the safety rules, I fill 14 out an incident report and send it of, which I have 15 done once. 16 CHAIRMAN BORST: And you still haven't heard. 17 How long ago was that? 18 ERIC SMITH: July 5th. 19 CHAIRMAN BORST: That was at the beginning. 20 COMMISSIONER PILLOW: Are they operating out 21 of Lexington? 2.2 ERIC SMITH: I believe so. That's their 23 mailing address. I don't know what manpower they

have in that building, but that's their mailing

24

25

address.

1 They have two people. CHAIRMAN BORST: EXECUTIVE DIRECTOR PITMAN: Maybe, they might 2. 3 have a couple others now. I'm not sure. 4 ERIC SMITH: I don't know their staff. 5 EXECUTIVE DIRECTOR PITMAN: They don't let us know these things. 6 CHAIRMAN BORST: So far you haven't seen 7 anything that's what you would call improvements. 8 9 ERIC SMITH: No, I have not seen anything that 10 I would consider improvement. 11 CHAIRMAN BORST: Probably because you guys 12 were doing everything right to begin with. I would like to think that. 13 ERIC SMITH: 14 CHAIRMAN BORST: Any other questions? 15 you. Hopefully, we can do this every once in a 16 while. 17 ERIC SMITH: I would be happy to. 18 EXECUTIVE DIRECTOR PITMAN: I do believe it's 19 a work in progress. I think there's a lot of 20 things that they never anticipated. And 21 unfortunately, had they come to the individuals 2.2 that are in the trenches to begin with when they 23 put the program together, we could have helped them 24 in that aspect. But as it is, they didn't so this 25 is where we are.

CHAIRMAN BORST: That's what we figured was
going to happen. It's too bad because I hate to
see it hurt the sport and tracks and horsemen. I
don't know, just not the right way to do things.
Don't have the bugs worked out yet. Okay. Thank
you.

Do we have any old business? I don't think we have anything around.

COMMISSIONER LEVENGOOD: Just old guys.

CHAIRMAN BORST: Just old people. New business. Dale.

2.2

MR. PENNYCUFF: Thank you, Chairman Borst.

Commissioners, each year there are matters that arise throughout the race meet that require quick turnaround and a quick decision. Because the Commission may not meet often enough for these immediate matters to be handled, Commission Staff routinely requests that the Commission delegate authority to Executive Director Pitman to handle any matters that arise between Commission meetings.

Pursuant to 71 IAC 2-2-1, the Commission may delegate authority to the Executive Director to implement and execute the duty of regulating each race meeting and persons participating in each meeting. At this time, Commission Staff

```
1
     respectfully requests delegation of authority to
 2.
     Director Pitman to make race meet decisions as
 3
     required that cannot be left for a Commission
 4
     meeting through the end of both race meets.
 5
          CHAIRMAN BORST: So you would like a motion, I
     guess, to give, delegate approval?
 6
          MR. PENNYCUFF:
 7
                          Yes.
          CHAIRMAN BORST: Somebody like to make that
 8
 9
     motion?
10
          COMMISSIONER ESTES: Yes, I will make the
11
     motion to delegate to Ms. Pitman.
12
          COMMISSIONER PILLOW:
                                I'll second it.
13
          CHAIRMAN BORST: Moved and seconded. Any
14
     discussion? Seeing none, all those in favor say
15
     "aye."
16
          THE COMMISSION: "Aye".
17
          CHAIRMAN BORST:
                          Opposed?
18
          (No response.)
19
          CHAIRMAN BORST: The "ayes" have it. Okay.
20
          Seeing no further business, this was we knew
21
     was going to be a short one, but there were some
2.2
     things we had to get done. And the Caesars thing
23
     came up too. With that, we are adjourned.
24
          (The Indiana Horse Racing Commission meeting
25
     adjourned at 2:36 p.m.)
```

1	STATE OF INDIANA		
2	COUNTY OF JOHNSON		
3			
4	I, Robin P. Martz, a Notary Public in and for		
5	said county and state, do hereby certify that the		
6	foregoing matter was taken down in stenograph notes		
7	and afterwards reduced to typewriting under my		
8	direction; and that the typewritten transcript is a		
9	true record of the Indiana Horse Racing Commission		
10	meeting;		
11	I do further certify that I am a disinterested		
12	person in this; that I am not a relative of the		
13	attorneys for any of the parties.		
14	IN WITNESS WHEREOF, I have hereunto set my		
15	hand and affixed my notarial seal this 6th day of		
16	October, 2022.		
17	Robert P. Martz		
18	NOBITE. Wait2		
19	NOTARY PUBLIC SEAL STATE OF INDIANA Commission No. NP0677410		
20	My Commission Expires March 2, 2024		
21	My Commission expires: March 3, 2024		
22	Job No. 175848		
23			
24			
25			

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