List of Excluded Records and Documents

<u>Indiana Access to Court Records Rule 10</u> requires the <u>Indiana Office of Court Services</u> (IOCS) to create and maintain a list of court records, case records, and other documents excluded from public access under these rules. This list is to be made available to judicial officers, attorneys, and litigants.

This list is a resource for judicial officers, members of the bar, and the public. It is not intended to be an exhaustive list of every Court Record, Case Record, or Other Document required to be excluded from public access by Indiana law, federal law, court rule, or case law. For example, this list does not include any records excluded from public access by federal law. Attorneys and members of the public may use this list but must also conduct their own legal research when determining whether a Court Record, Case Record, or Other Document must be excluded from public access.

Our office will not respond to any questions from attorneys or public about whether a court record should be excluded from the public. We consider questions of this nature to be legal advice and we are prohibited from providing legal advice to attorneys or the public.

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Exclusions by Indiana Code

Title 3 Elections

| Citation and Title | Brief Description |
|---|-------------------------------------|
| 3-7-14-7. Contents of form. | Voter registration application form |
| 3-7-26.4-2. Division may not provide voter compilation information - Exception. | Voter registration information |

Title 4 State Offices and Administration

| Citation and Title | Brief Description |
|--|---|
| 4-2-6-4. Powers and duties of commission. | Inspector general investigative reports not filed with the Ethics Commission |
| 4-2-7-4. Powers. | Inspector general report to the court requesting order of contempt for failure to comply with an investigation |
| 4-2-7-7. Discovery of criminal activity - Appointment as special prosecuting attorney - Appointment of deputies - Indictment from grand jury required. | COA judge may declare verified petition confidential in a case brought to appoint a special prosecutor upon a showing of need |
| 4-2-7-8. Confidentiality for informants and records. | Inspector general informants |
| 4-6-3-5. Restrictions on investigative demands. | Oral testimony, the production of written answers to interrogatories, or the production of documentary material that would be privileged from disclosure if demanded by a subpoena duces tecum issued by a court in aid of a grand jury investigation |
| 4-6-3-9. Confidentiality of material obtained by investigative demand - Disclosure. | All documentary material, answers to written interrogatories, and transcripts of oral testimony that are provided pursuant to an investigative demand by the attorney general |

| Citation and Title | Brief Description |
|---|--|
| 4-6-9.1-4. Information obtained during investigation. | Information obtained during the attorney general's investigation, including information from a person who responds to the investigation and designates the information as confidential, must be maintained as confidential until the investigation is completed by the attorney general and a course of action is determined |

Title 5 State and Local Administration

| Citation and Title | Brief Description |
|---|--|
| 5-2-9-6. Clerk to provide copies of orders - Confidential files - Duties of sheriff or law enforcement agency. | Personal information of a protected person |
| 5-2-9-7. Confidential information - Use. | Protective orders |
| 5-11-1-9.5. Examination of public office, officer, entity, or institution based on allegation of legal violation. | Identity of an individual who reports malfeasance in the administration of public funds resulting in a civil proceeding |
| 5-11-5.5-12. Protected material, answers, or testimony. | A civil investigative demand issued may not require the production of any documentary material, the submission of any answers to written interrogatories, or the giving of any oral testimony if the material, answers, or testimony would be protected from disclosure under the rules for grand jury investigations or rules of Rules of Trial Procedure |
| 5-11-5.5-4. Civil actions on behalf of person or state - Procedure - Dismissal. | Must be filed under seal |

| Citation and Title | Brief Description |
|---|---|
| 5-11-5.5-5. Intervention by attorney general or inspector general - Prosecution by original complainant - Pursuance of claim through alternative proceedings. | Upon a showing of good cause, the court may: (1) conduct the settlement hearing in camera; or (2) lift all or part of the seal to facilitate the investigative process or settlement. If the attorney general or inspector general shows that a specific discovery action by the person who initially filed the complaint will interfere with the investigation or prosecution of a civil or criminal matter arising out of the same facts, the court may, following a hearing in camera, stay discovery for not more than sixty (60) days. |
| 5-11-5.7-12. Specific demand for product of discovery supercedes contrary order, rule, statutory provision. | A civil investigative demand may not require the production of any documentary material, the submission of any answers to written interrogatories, or the giving of any oral testimony if the material, answers, or testimony would be protected from disclosure under the standards applicable: (1) to a subpoena or subpoena duces tecum issued by a court to aid in a grand jury investigation; or (2) to a discovery request under the rules of Rules of Trial Procedure |
| 5-11-5.7-15. Answers to civil investigative demand. | Restricted availability of documentary material, answers to interrogatories, or transcripts of oral testimony |
| 5-11-5.7-4. Bringing civil action. | Must be filed under seal |
| 5-11-5.7-5. Intervention by attorney general or inspector general. | Upon a showing of good cause, the court may: (1) conduct the settlement hearing in camera; or (2) lift all or part of the seal to facilitate the investigative process or settlement. If the attorney general or inspector general shows that a specific discovery action by the person who initially filed the complaint will interfere with the investigation or prosecution of a civil or criminal matter arising out of the same facts, the court may, following a hearing in camera, stay discovery for not more than sixty (60) days. |

| Citation and Title | Brief Description |
|---|--|
| 5-11-5-1. Report to the examiners - Filing copies - Civil proceedings to recover funds - Review by officer or chief executive officer of entity examined - Criminal prosecutions. | Report of the examination. All information discussed and materials presented or delivered by any person during the examination and the exit conference are confidential and may not be discussed or shared publicly until the earliest of the occurrences set forth in subsection (g). |
| 5-11-6-1. Examinations authorized - Petition of taxpayers - Reports - Expenses - Recovery of funds. | Preliminary reports are confidential |
| 5-14-3-5.2. Inspection and copying of law enforcement recordings. | Following court order to release a law enforcement recording, certain information shall or may be obscured prior to release |
| 5-14-3-5.5. Judicial public record - Sealing of public record. | Sealing of court records not otherwise declared confidential under IC 5-14-3-4 |
| 5-14-3-9. Denial of disclosure - Action to compel inspection or copying of record. | Court in camera review of public records denied disclosure by a public agency |
| 5-26.5-3-2. Exceptions to confidentiality. | Address confidentiality program - name, address, telephone number or any other identifying information of program participants |

Title 6 Taxation

| Citation and Title | Brief Description |
|--|--|
| 6-4.1-12-12. Confidentiality of inheritance tax files and information - Permitted disclosures. | Any information contained in documents filed |
| 6-4.1-5-10. Order on amount of inheritance tax due - Form and contents. | Orders detailing fair market value of property transferred and the amount of inheritance tax due |

Title 9 Motor Vehicles

| Citation and Title | Brief Description |
|--|--|
| 9-14-13-3. Confidential records. | Certificate of title or certificate of registration of the motor vehicle and the driver's license of the individual |
| 9-14-13-7. Personal information that may be disclosed. | BMV may disclose personal information if info will be used in accordance with at least one of the 14 permissible uses in the statute |
| 9-14-13-8. Highly restricted personal information disclosed. | BMV may disclose highly restricted personal information in accordance with this statute |
| 9-26-3-4. Confidential use by state police department or other state agencies - Disclosure of identity of person involved in accident - Prohibition against use of report as Rules of Evidence in trial. | Accident reports |
| 9-32-18-5. Payment to qualifying individual. | Information may not be used outside the Secretary's office except as required by law |
| 9-32-3-7. Personal information that is not highly restricted. | Use of personal information limited to statutory purposes listed |

Title 10 Public Safety

| Citation and Title | Brief Description |
|--|---|
| 10-13-4-13. Sealed juvenile history - Exceptions. | Juvenile history data sealed when person reaches 22 years of age |
| 10-14-3-14. Energy emergency - Factors - Implementation and compliance with orders - Uniformity with federal orders and actions - Authority of governor. | Information relevant to the energy emergency designated confidential by the source |
| 10-17-2-4. Discharge record not public record - County recorder may provide certified copy of discharge record only to certain persons. | Discharge records are not public and may only be released to the persons listed in this statute |

Title 11 Corrections

| Citation and Title | Brief Description |
|--|--|
| 11-8-5-2. Personal information - Classification as confidential - Access - Disclosure. | Medical, psychiatric, psychological data, pending investigation for criminal or other misconduct; information that if released may result in harm a person; information obtained under promise of confidentiality; information confidential by law or rule |
| 11-13-1-8. Rules and regulations by Judicial Conference of Indiana | Probation records are confidential per Judicial Conference Probation Standards |
| 11-13-3-3. Parole release hearing - Procedure - Notice to victim, next of kin, or witness. | Victim or witness name or address |
| 11-13-3-4. Parole conditions. | Victim address |

Title 12 Human Services

| Citation and Title | Brief Description |
|--|---|
| 12-10-3-15. Confidentiality of reports. | Reports of abuse, any other information obtained, reports written, or photographs taken |
| 12-11-14-9. ABLE authority created. | Data, information and records relating to designated beneficiaries of and individual contributors, including personally identifying information |
| 12-18-8-8. Local domestic violence fatality review. | Local domestic violence fatality review teams |
| 12-18-9-7. Statewide review of death or near fatality. | Statewide domestic violence fatality reviews |

Title 14 Natural and Cultural Resources

| Citation and Title | Brief Description |
|--|--|
| 14-22-11-3. Form and issuance of licenses. | SSN in application for hunting, trapping or fishing licenses |

Title 16 Health

| Citation and Title | Brief Description |
|---|---|
| 16-21-11-6. Disposition of remains of miscarried fetus - Rights, requirements, obligations of parents and health care facility. [See Compiler's notes] | Personally identifying information is confidential when gathered voluntarily, survey, or public health or epidemiological investigation |
| 16-32-4-5. Release of information. | Information collected to issue a bracelet or identification card is confidential |
| 16-34-2-4. Written consent of parent or guardian of pregnant minor - Petition by minor whose parents object - Petition of juvenile court by physician - Parental notification - Time for ruling by juvenile court - Appointment of attorney - Appeal - When procedure not applicable. | Waiver of parental consent to the abortion of an unemancipated minor |
| 16-34-3-4. Requirements of IC 16-37-3 applicable. | Any information that may be used to identify the pregnant woman |
| 16-37-1-10. Disclosure of data. | Information in a birth record; SSN in death certificates |
| 16-39-2-3. Confidentiality - Disclosure. | A patient's mental health record is confidential |
| 16-39-2-6. Disclosure without consent of patient. | W/o patient consent |
| 16-39-3-10. Court records to be confidential. | Release of mental health records in investigations and legal proceedings |
| 16-39-6-3. Confidentiality of records - Production of records. | Records and other information provided to the committees |
| 16-39-7.1-3. Valid uses. | Authorized access to autopsy records |

| Citation and Title | Brief Description |
|--|--|
| 16-41-13-3. Confidentiality of information. | Information regarding a deceased individual's communicable disease |
| 16-41-6-2. "Informed consent" defined - Request for consent to examine individual - Compelling examination - Hearing. | Mandatory testing of individuals with communicable or dangerous diseases |
| 16-41-8-1. "Potentially disease threatening offense." | Medical records |
| 16-41-8-4. Release of medical information that may be relevant to prosecution or defense of person charged with potentially disease transmitting offense. | Medical records of persons charged with a potentially disease threatening offenses |
| 16-41-8-5. Petition to court to order defendant charged with commission of potentially disease transmitting offense to submit to screening test to determine whether defendant is infected with dangerous disease. | Proceeding to order defendant charged with a potentially disease transmitting offense to undergo screening |

Title 20 Education

| Citation and Title | Brief Description |
|--|--|
| 20-28-10-17. Communications to school counselor privileged. | Matters communicated to school counselors are privileged and protected against disclosure - except those matters that disclose child abuse or neglect. |
| 20-33-7-2. Same access to custodial and noncustodial parent - Exception. | A nonpublic or public school must allow a custodial parent and a noncustodial parent of a child the same access to their child's education records - except - if a court has issued an order that limits the noncustodial parent's access to the child's education records and the school has knowledge of that order. |

Title 22 Labor and Safety

| Citation and Title | Brief Description |
|---|---|
| 22-4-19-6. Records and reports of employing units - Inspection - Confidentiality - Penalty. | Information obtained or obtained from any person in the administration of this article and the records of the department relating to the unemployment tax or the payment of benefits is confidential and may not be published or be open to public inspection in any manner revealing the individual's or the employing unit's identity, except in obedience to an order of a court |
| 22-8-1.1-48.4. Confidentiality of trade secrets - Violation prohibited. | All information reported to or otherwise obtained by the commissioner, the designated representatives of the commissioner, the department of labor, the occupational safety standards commission, the board of safety review, INSafe, and the agents and employees of any of them that contains or might reveal a trade secret |

Title 24 Trade Regulation

| Citation and Title | Brief Description |
|--|---|
| 24-2-3-6. Preservation of trade secret - Court actions authorized. | A court shall preserve the secrecy of an alleged trade secret by reasonable means, which may include granting protective orders in connection with discovery proceedings, holding in-camera hearings, sealing the records of the action, and ordering any person involved in the litigation not to disclose an alleged trade secret without prior court approval. |
| 24-3-5.4-18. Sharing of information under this chapter. | Tobacco sales data provided from an outside party and received under the master settlement agreement must be treated as confidential under |
| 24-4-18-6. Criminal history information that may be provided. | A criminal history provider may not knowingly provide a criminal history report that provides criminal history information relating to a record that has been expunged and/or removed from public access, unless required by law. |

| Citation and Title | Brief Description |
|---|---|
| 24-4.4-2-402.4. Designation of NMLSR to serve as sole entity for processing license applications, issuing unique identifiers for licensees, and other services - Procedure for reporting complaints about licensees to NMLSR - Privacy and confidentiality - Form of documents. | Confidentiality of records submitted to and in possession of the Nationwide Mortgage Licensing System and Registry (NMLSR) |
| 24-4.5-3-502.2. Director may designate NMSLR to be solely responsible for processing of licenses, issuing identifiers for licenses, and performing other services. | A person may not obtain information from the Nationwide Mortgage Licensing System and Registry (NMLSR) unless the person is authorized to do so by statute. |
| 24-4.5-3-503.4. Designation of NMLSR to serve as sole entity for processing license applications, issuing unique identifiers for licensees, and other services - Procedure for reporting complaints about licensees to NMLSR - Privacy and confidentiality - Form of documents. | A person may not obtain information from the Nationwide Mortgage Licensing System and Registry (NMLSR) unless the person is authorized to do so by statute. |
| 24-10-2-2. Claims and awards. | The personal information of an individual who files a claim with the Consumer Protection Assistance Fund is confidential and may not be disclosed or distributed outside the office, except as may be required by law |
| 24-12-9-4. Designating certain responsibilities for NMLSR. | A person may not obtain information from the Nationwide Mortgage Licensing System and Registry (NMLSR) unless the person is authorized to do so by statute. |

Title 25 Professions and Occupations

| Citation and Title | Brief Description |
|---|--|
| 25-1-1.1-5. Data exchange and data matching regarding licensees charged with or convicted of offense. | The state police department and the Indiana professional licensing agency shall enter into a memorandum of understanding to provide data exchange and data matching regarding licensees who are charged with or convicted of an offense. |

| Citation and Title | Brief Description |
|---|--|
| 25-1-5-11. Applicant or holder of license to provide Social Security number to agency - Agency to collect and release Social Security number as provided in state or federal law - To whom access to number may be granted. | The personal information of an individual who is: (1) a licensee; (2) an applicant; or (3) a board member; is confidential and may not be disclosed to the public by the agency (Professional Licensing Agency) or a board. |
| 25-2.1-14-2. Confidential and privileged information - Disclosure allowed. | The information derived from or as the result of professional accounting services is confidential and privileged. |
| 25-26-14-16.5. Designation of representative for each wholesale drug distributor's facilities - Application by representative - Contents - Required qualifications - Training - Compliance by third party logistics provider. | Wholesale drug distributor's designated representative's family information is confidential. |
| 25-26-24-19. Confidentiality of information. | Information received by the INSPECT program is confidential. |
| 25-38.1-4-5.5. Duty to maintain medical records - Contents of veterinary medical records - Copy of medical records - Confidentiality - Furnishing of records under certain circumstances - Time limitation to maintain records. | An animal's veterinary medical record and medical condition is confidential and may not be: (1) furnished to; or (2) discussed with; any person other than the client or other veterinarians involved in the care or treatment of the animal |
| 25-42-7-7. Closed meeting - Certification - Minutes. | Interstate Commission of Nurse Licensure Compact Administrators closed meeting minutes must remain under seal, subject to release by a majority vote of the commission or order of a court of competent jurisdiction. |

Title 27 Insurance

| Citation and Title | Brief Description |
|---|---|
| 27-1-12.8-37. Confidential information. | An insurance company's confidential information is (1) confidential by law and privileged; (2) not subject to subpoena; and (3) not subject to discovery or admissible in Rules of Evidence in a private civil action; except in for an examination not maintained as private and confidential. |

| Citation and Title | Brief Description |
|--------------------|-------------------|
| | |

27-1-15.6-15. Termination of appointment, employment or contract - Information provided to commissioner - Requirements - Immunity from civil liability - Confidentiality.

Documents, materials, and other forms of information in the control or possession of the Department of Insurance that are:

(A) furnished by: (i) an insurer or producer; or (ii) an employee or agent of an insurer acting on behalf of the insurer or producer; or (B) obtained by the commissioner in an investigation under this section; are confidential by law and privileged, are not subject to public inspection and copying under IC 5-14-3-3, are not subject to subpoena, and are not subject to discovery or admissible in Rules of Evidence in any private civil action. However, the commissioner is authorized to use the documents, materials, or other information in the furtherance of any regulatory or legal action brought as a part of the commissioner's duties.

27-1-23-2. Acquisition or divestiture of domestic insurer - Filing of documents - Hearings, requisites, procedure, rulings - Exceptions - Service of process.

If a controlling person of a domestic insurer seeks to divest the person's controlling interest, the person shall: file with the commissioner a confidential notice of the person's proposed divestiture at least thirty (30) days before the person ceases control. Information obtained by the commissioner under this subsection is confidential until the conclusion of the divestiture or acquisition unless the commissioner determines that maintaining confidentiality of the information interferes with enforcement of this section

| Citation and Title | Brief Description |
|--|--|
| 27-1-3.1-15. Confidential information not subject to subpoena. | All working papers, recorded information, documents, and copies thereof produced by, obtained by, or disclosed to the commissioner or any other person in the course of an examination under this chapter (including trade secrets and information obtained from a federal agency, a foreign country, or the National Association of Insurance Commissioners, or under another state law): (1) are confidential and privileged; (2) are not subject to public inspection or copying under IC 5-14-3-3 (Right to inspect and copy public agency records); (3) are not subject to subpoena; (4) are not subject to discovery or admissible in Rules of Evidence in a private civil action; and (5) may not be made public by the commissioner or any other person, except to the extent provided in section 14 (acquisition of domestic insurance company). |
| 27-9-2-3. Records confidential. | In insurance delinquency proceedings and judicial reviews of those proceedings all records of the insurer, other documents, and all insurance department files, and court records and papers, so far as they concern or are a part of the record of the proceedings, shall remain confidential except as is necessary to obtain compliance with those proceedings or judicial reviews, unless and until the Marion County circuit court, after hearing arguments from the parties in chambers, shall order otherwise, or unless the insurer requests that the matter be made public |
| 27-13-31-1. Confidential information. | Any information: (1) that pertains to the diagnosis, treatment, or health of any enrollee of a health maintenance organization or limited service health maintenance organization; and (2) that is obtained from: (A) the enrollee; or (B) any provider; by any health maintenance organization or limited service health maintenance organization; is confidential. |

| Citation and Title | Brief Description |
|---|--|
| 27-14-7-5. Confidentiality of filing made under this article. | Filings made under this article may include information that might be damaging to an applicant or its affiliate if made available to competitors. All information, documents, and copies of the filings containing trade secrets of an applicant or its affiliate are declared: (1) confidential for the purposes of IC 5-14-3-4; and (2) not subject to inspection and copying by the public under person, except to insurance departments of other states which agree to such confidential treatment; without the written consent of the person to which they pertain. |
| 27-15-7-3. Disclosure of confidential documents. | The department of insurance commissioner may disclose to any person all or part of any document marked "confidential" in the commissioner's possession as the result of being filed under this article if: (1) The commissioner must give written notice of the proposed disclosure to the converting mutual and any other person requesting disclosure; (2) The converting mutual must be given an opportunity in private to respond to the proposed disclosure; (3) The commissioner must give consideration to any legitimate interest in preserving trade secrets; (4) The commissioner must determine that the eligible members or other policyholders have a compelling interest which would be served by disclosure; (5) At least five (5) business days have elapsed from the converting mutual's receipt of written notice. |

Title 28 Financial Institutions

| Citation and Title | Brief Description |
|---|---|
| 28-1-2-30. Disclosure of information. | A member of the Department of Financial Institutions or employee having access to any such information may not disclose to any person, other than officially to the department, by the report made to it, or to the board of directors, partners, or owners, or in compliance with the order of a court, the names of the depositors or shareholders in any financial institution, or the amount of money on deposit in any financial institution at any time in favor of any depositor, or any other information concerning the affairs of any such financial institution. |
| 28-1-29-5.5. "Nationwide Mortgage Licensing System and Registry". | Information stored in the Nationwide Multistate Licensing System and Registry (NMLSR) is subject to the confidentiality provisions of IC 5-14-3 (Access to Public Records). |
| 28-8-4-20.5. Nationwide Multistate Licensing System and Registry. | Information stored in the Nationwide Multistate Licensing System and Registry (NMLSR) is subject to the confidentiality provisions of IC 5-14-3 (Access to Public Records). |

Title 29 Probate

| Citation and Title | Brief Description |
|---|---|
| 29-1-21-15. Deposit of an electronic will copy with circuit court clerks. | An envelope and electronic will copy deposited under this section or IC 29-1-7-3.1 (Deposit of will) is confidential under IC 5-14-3. |

| Citation and Title | Brief Description |
|--|---|
| 29-3-2-7. Person filing petition to establish or modify guardianship of minor. | If a person files a petition to establish or modify guardianship of a minor, any person who: (1) is a party to the guardianship proceeding; and (2) has knowledge that a party to the guardianship proceeding has been determined to be a perpetrator of a substantiated report of child abuse or neglect or that the minor is a victim of child abuse, a CHINS, or involved in an informal adjustment - the petition shall be filed under seal. Any response shall also be filed under seal. |
| 29-3-9-7(c). Compromise Settlements | Any exhibit demonstrating a compromise on behalf of a protected person or a minor and any testimony related to such compromise that is offered or admitted into evidence in a legal proceeding commenced under this section. |

Title 30 Trusts and Fiduciaries

| Citation and Title | Brief Description |
|--|---|
| 30-2-13-26. Confidentiality of contract. | During the lifetime of the purchaser for prepaid services or merchandise, or both, for a named individual in conjunction with the death, funeral, burial, or final disposition of the individual, the contract is confidential and neither the seller nor the trustee may disclose to a third party the identity of the purchaser or the terms of the contract. |

Title 31 Family Law and Juvenile Law

| Citation and Title | Brief Description |
|---|---|
| 31-11-1-6. Authorization by court - Requirements. | A court's authorization to permit two individuals to marry constitutes part of the confidential files of the clerk of the circuit court; and may be inspected only by written permission of a circuit, superior, or juvenile court. |

| Citation and Title | Brief Description |
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| 31-11-4-4. Application. | SSNs on state department of health marriage forms are confidential |
| 31-14-13-12. Person filing petition to establish or modify custody of a child having knowledge of child abuse, neglect, or need of services. | If a person files a petition to establish or modify custody of a child, any person who: (1) is a party to the guardianship proceeding; and (2) has knowledge that a party to the guardianship proceeding has been determined to be a perpetrator of a substantiated report of child abuse or neglect or that the minor is a victim of child abuse, a CHINS, or involved in an informal adjustment - the petition shall be filed under seal. Any response shall also be filed under seal. |
| 31-16-6-10. Report of change of address by party affected by support order. | SSN of child subject to support order shall be kept confidential and may be used only to carry out the purposes of the Title IV-D program |
| 31-16-9-3. Information to be provided to clerk. | SSN of child subject to support order shall be kept confidential and may be used only to carry out the purposes of the Title IV-D program |
| 31-17-2-26. Person filing petition to establish or modify custody of a child having knowledge of child abuse, neglect, or need of services. | If a person files a petition to establish or modify custody of a child, any person who: (1) is a party to the guardianship proceeding; and (2) has knowledge that a party to the guardianship proceeding has been determined to be a perpetrator of a substantiated report of child abuse or neglect or that the minor is a victim of child abuse, a CHINS, or involved in an informal adjustment - the petition shall be filed under seal. Any response shall also be filed under seal. |
| 31-17-4-11. Person filing petition to establish or modify parenting time with a child having knowledge of child abuse, neglect, or need of services. | If a person files a petition to establish or modify custody of a child, any person who: (1) is a party to the guardianship proceeding; and (2) has knowledge that a party to the guardianship proceeding has been determined to be a perpetrator of a substantiated report of child abuse or neglect or that the minor is a victim of child abuse, a CHINS, or involved in an informal adjustment - the petition shall be filed under seal. Any response shall also be filed under seal. |

| Citation and Title | Brief Description |
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| 31-19-12-5. Transfer and use of records for adoption history program. | Transfer an adoption record to the state registrar for inclusion in the adoption history program administered by the state registrar, or, after giving notice to the state registrar, to a transferee agency that assumes responsibility for the preservation of records maintained as part of the adoption history program |
| 31-19-1. Confidential items - Requirements for files and records of court. | The following items are confidential: (1) A petition for adoption. (2) Reports of the investigation made under IC 31-19-8-5 (or IC 31-3-1-4 before its repeal). (3) All other papers filed in connection with a petition for adoption. (4) The record of Rules of Evidence of the hearing. (5) The decree made and entered by the court, including decrees in foreign adoptions. |
| 31-19-19-2. Records of county office of family and children, department, and agencies. | All files and records pertaining to the adoption proceedings in: (1) the local office; (2) the department; or (3) any of the licensed child placing agencies; are confidential |
| 31-19-4. Court records - Records of agencies or health care providers. | All papers, records, and information pertaining to the adoption, whether part of: (1) the permanent record of the court; or (2) a file in: (A) the division of vital records; (B) the department or local office; (C) a licensed child placing agency; or (D) a professional health care provider (as defined in IC 34-6-2-117); are confidential and may be disclosed only in accordance with IC 31-19-17 (Preparation of Adoption History for Adoptive Parents). |
| 31-19-24-7. Filing of information with court. | Information released to the confidential intermediary shall be filed with the court in a manner designed to: (1) protect the identity and current location of the person releasing the information; and (2) preserve the confidentiality of the medical, identifying, or nonidentifying information that the confidential intermediary obtains. |
| 31-19-24-9. Report and documents submitted by confidential intermediary. | The report of the confidential intermediary to the court is confidential. |

| Citation and Title | Brief Description |
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| 31-19-25-3. Restriction of access to information by birth parent - Nonrelease form - Effect - Death of birth parent. | A birth parent may restrict access to identifying information concerning the birth parent by filing a contact preference form with the state registrar that Rules of Evidences the birth parent's lack of consent to the release of identifying information. |
| 31-21-5-13. Information required to be sealed. | If a party alleges in an affidavit or a pleading under oath that the health, safety, or liberty of a party or child would be jeopardized by disclosure of identifying information, the information must be sealed and may not be disclosed to the other party or the public unless the court orders the disclosure to be made after a hearing. |
| 31-30.5-1-5. Confidentiality. | A custodial interrogation recorded under this chapter is confidential at the discretion of the court. |
| 31-32-13-9. Compliance with IC 5-2-9 - Confidentiality forms. | When a court issues an order or an emergency order (1) to control the conduct of any person in relation to the child; (2) to provide a child with an examination or treatment under IC 31 32-12; or (3) to prevent a child from leaving the court's jurisdiction - the petitioner shall file a confidential form prescribed or approved by the office of judicial administration with the clerk. |
| 31-32-3-11. Voluntary preventative program for at-risk children. | Voluntary preventative program for at-risk children information is confidential. |
| 31-33-18-1. Confidential items. | Reports and any information made/gathered regarding the investigation of child abuse and neglect in the possession of: (A) the division of family resources; (B) the local office; (C) the department; or (D) the department of child services ombudsman and all records held by: (1) the division of family resources; (2) a local office; (3) the department; (4) a local child fatality review team; (5) the statewide child fatality review committee; or (6) the department of child services ombudsman regarding the death of a child determined to be a result of abuse, abandonment, or neglect are confidential and may not be disclosed. |

| Citation and Title | Brief Description |
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| 31-33-18-1.5. Records regarding death of child by abuse, abandonment or neglect - Written findings by court - Redacted records may be disclosed. | Redacted records regarding a child whose death or near fatality may have been the result of abuse, abandonment, or neglect are not confidential. |
| 31-33-18-5. Audio recordings of calls to child abuse hotline or reports of child abuse or neglect. | An audio recording of a telephone call to the child abuse hotline is confidential and may be released only upon court order. |
| 31-34-20-2. No contact orders - Duties of clerk - Confidential form. | If a court enters a dispositional decree in a CHINS case that includes a no contact order the petitioner shall file a confidential form prescribed or approved by the division of state court administration with the clerk. |
| 31-37-19-2. No contact orders - Duties of clerk of court - Confidential form. | If a court enters a dispositional decree in a delinquency case that includes a no contact order the petitioner shall file a confidential form prescribed or approved by the division of state court administration with the clerk. |
| 31-37-19-22. No contact order - Duties of clerk - Confidential form. | If a court enters a dispositional decree in a delinquency case that includes a no contact order the petitioner shall file a confidential form prescribed or approved by the division of state court administration with the clerk. |
| 31-39-1-1. Applicability of chapter. | The legal juvenile records subject to this chapter include the following: (1) Chronological case summaries. (2) Index entries. (3) Summonses. (4) Warrants. (5) Petitions. (6) Orders. (7) Motions. (8) Decrees - are confidential. |
| 31-39-1-2. Protection of records from disclosure. | makes all records of the juvenile court confidential subject to availability provisions in IC 31-39-2 |
| 31-39-2-10. Persons having legitimate interest in the work of the court. | juvenile court records available to interested persons |

| Citation and Title | Brief Description |
|--|---|
| 31-39-2-11. Legitimate research activities - Requirements. | juvenile court records available to any person involved in legitimate research activity |
| 31-39-2-13.8. School access to juvenile court records - Procedure - Confidentiality. | juvenile court records available to school |
| 31-39-2-8. Public - Information or documents which may be released. | public access to records of juvenile delinquency proceedings |

Title 32 Property

| Citation and Title | Brief Description |
|--|--|
| 32-34-1-44. Exchange of information with other states - Enforcement of other state laws - Confidentiality of certain records - Attorney general's audit reports. | interstate agreements to exchange information relating to unclaimed property |

Title 33 Courts and Court Officers

| Citation and Title | Brief Description |
|--|---|
| 33-23-5-4. Confidentiality of applicants' files. | applications for appointment as magistrate are confidential |
| 33-33-71-38. Written evaluations of candidates. | list of nominees for St. Joseph Superior Court |
| 33-33-71-48. Preliminary proceedings confidential - Formal proceedings open to public. | proceedings before commission on judicial qualification re: St. Joseph Superior Court judges |
| 33-38-13-10. Papers filed with commission - Confidentiality - Public inspection. | confidentiality of JQC proceedings |
| 33-38-14-12. Papers filed with commission - Confidentiality - Public inspection. | confidentiality of JQC proceedings |
| 33-38-6-23. Administration of fund - Powers and duties of board. | judges retirement fund records |

| Citation and Title | Brief Description |
|--|---|
| 33-38-7-12. Permanent disability. | disability of judges retirement fund participants (1977) |
| 33-38-8-15. Determination of permanent disability. | disability of judges retirement fund participants (1985) |
| 33-39-7-17. Disability benefits - Eligibility. | disability of prosecutors |
| 33-44-6-11. Confidentiality of information. | confidentiality of interest bearing attorney trust accounts |

Title 34 Civil Law and Procedure

| Citation and Title | Brief Description |
|---|---|
| 34-28-5-15. Restrictions on disclosure of records in absence of adjudication of infraction - Petition to restrict disclosure. | nondisclosure of information related to infraction: not prosecuted, dismissed; deferred |
| 34-30-2-133.7. Confidential intermediary appointed by court to locate personal information concerning adopted person that is not available through state registrar - Contacting a birth parent or intermediary. | court appointed confidential intermediary to locate personal information concerning an adopted person |
| 34-30-15-1. Confidentiality - Disclosure of final action - Disclosure of information for certain purposes by peer review committee of health care facility. | privileged communications of health care provider peer review committees |
| 34-43-1-11. Record concerning treatment for mental illness. | medical records regarding treatment for mental illness |
| 34-43-1-12. Record containing information confidential under IC 16-41-8-1. | medical records regarding communicable diseases |

Title 35 Criminal Law and Procedure

| Citation and Title | Brief Description |
|--|--|
| 35-33.5-5-2. Retention of intercepted communications. | contents of authorized interception of telephonic or telegraphic communications recorded plus warrant/extension and application for warrant/extension |
| 35-34-1-1. Commencement of prosecutions - Sealing of indictments or informations. | sealing of criminal indictment |
| 35-38-1-13. Confidentiality of presentence report and memoranda. | confidentiality of PSI |
| 35-40-14-4. Person who is injured by identity theft or who has filed police report may file application with court for court order declaring that person a victim of identity theft - Procedure. | order declaring person victim of identity theft |
| 35-40-5-12. Identification of victims - Confidentiality. | designation of victims in public documents |
| 35-40-5-8.5. Appeal - Electric copy of transcript. | victim has right to electronic transcript however is not entitled to any confidential information that court has excluded from public access pursuant to statute or court rule |
| 35-40-6-7. Victim's request for notification following conviction. | victim has right to review PSI except those parts excised by the court or made confidential pursuant to IC 35-40-5-6 |

Title 36 Local Government

| Citation and Title | Brief Description |
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| 36-2-14-10. Verdict and written report of death - Filing - Confidentiality of autopsy records. | photograph, video recording, or audio recording of an autopsy |

| Citation and Title | Brief Description |
|--|--|
| 36-2-14-18. Report of coroner's investigation - Contents - Confidentiality - Autopsy report. | Prosecutor may petition court for order prohibiting coroner from publicly disclose information generally disclosable. |
| 36-8-8-12.7. Hearings to determine impairment or disability under IC 36-8-5-2(g), IC 36-8-6, IC 36-8-7 and IC 36-8-7.5 - Appeal - Records. | To the extent required by the Americans with Disabilities Act, the transcripts, records, reports, and other materials generated as a result of a hearing, review, or appeal conducted to determine an impairment under this chapter or a disability under IC 36-8-6, IC 36-8-7, or IC 36-8-7.5 |

Exclusions by Indiana Rules of Court

Administrative Rules

| Citation and Title | Brief Description |
|--------------------------------|---|
| Rule 19. Court security plans. | a court security plan, including any security policy and procedures manual adopted as part of the security plan |

Interpreter Code of Conduct and Procedure & Disciplinary Process for Certified Court Interpreters & Candidates for Interpreter Certification

| Citation and Title | Brief Description |
|---|--|
| Rule 5. Determination of need for discipline. | All hearings will be reported or recorded electronically and shall be private and confidential, except upon request of the interpreter facing the allegations. Strict rules of Rules of Evidence shall not apply. The Program Manager may, in his/her discretion, call witnesses or clarify any Rules of Evidence presented (included affidavits). The CAO or the CAO's designee (a person different from the individual presenting the charges) shall preside over the hearing and give all Rules of Evidence the weight deemed appropriate. The interpreter may be represented by counsel and shall be able to testify, comment on the allegations, and call witnesses. Testimony shall be under oath. |

Rules for Admission to the Bar and the Discipline of Attorneys

| Citation and Title | Brief Description |
|--------------------------------|--|
| Rule 2. Registration and fees. | Attorney residence addresses and electronic mail addresses |

| Citation and Title | Brief Description |
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| Rule 10. Juror safety and privacy. | Personal information relating to a juror or prospective juror not disclosed in |
| | open court is confidential, other than for the use of the parties and counsel. |
| | The court shall maintain that confidentiality to an extent consistent with the |
| | constitutional and statutory rights of the parties |
| Rule 12. Committee on Character and fitness. | That the Board has special concerns about the proof of applicant's moral |
| | character and fitness based upon Rules of Evidence of drug, alcohol, |
| | psychological or behavioral problems, but in lieu of denying admission to the |
| | bar finds that the applicant has satisfied the Board as to his or her character |
| | and fitness, and has also satisfied the general qualifications, sufficiently to be |
| | eligible for conditional admission upon such terms and conditions as specified |
| | by the Board, said conditional admission to be administered by the Board over |
| | a period of time not to exceed five (5) years. The conditional admission shall be |
| | governed by Internal Rules and Policies adopted by the Board. The fact that |
| | the admission is conditional shall be confidential |
| Rule 19. Confidentiality. | All information and all records obtained and maintained by the Board of Law |
| | Examiners in the performance of its duty under these rules and as delegated |
| | by the Supreme Court of Indiana shall be confidential. This shall include, but |
| | not be limited to, the applications and files of all the applicants, reports and |
| | correspondence regarding investigation of applicants, inter-office and inter- |
| | member memoranda, minutes and records of all meetings and hearings, and |
| | all examination materials and results |

| Citation and Title | Brief Description |
|---|---|
| Rule 23. Disciplinary commission and proceedings. | * Proceedings and papers that relate to matters that have not resulted in the filing of a Disciplinary Complaint shall be confidential and not available to the public. *Communications among members and staff of the Disciplinary Commission regarding disciplinary matters, minutes and notes regarding Disciplinary Commission meetings and deliberations, and investigative reports and other work product of the Executive Director or his or her agents shall be confidential and not available to the public. *Conditional Agreements, advisory letters and any responses from respondents, private administrative admonition letters, resignation affidavits, and affidavits consenting to disbarment shall be confidential and not open to public inspection. |
| Rule 25. Judicial disciplinary proceedings. | Commission deliberations, settlement conferences, and proposed settlement agreements shall remain confidential. Settlement agreements submitted to the Supreme Court for approval shall become public when the Supreme Court accepts the agreement in whole or in part and issues an order or opinion resolving the judicial disciplinary case. |
| Rule 28. Mandatory continuing judicial education. | Unless otherwise directed by the Supreme Court or by another court having jurisdiction, the files, records and proceedings of the Commission, as they may relate to or arise out of a Judge or Sponsor attempting to satisfy the continuing judicial educational requirements of this Rule shall be confidential and shall not be disclosed except in furtherance of the duties of the Commission or upon the request of the Judge or Sponsor affected. |
| Rule 29. Mandatory continuing legal education. | Unless otherwise directed by the Supreme Court or by another court having jurisdiction, the files, records, and proceedings of the Commission, as they may relate to or arise out of an Attorney, Mediator, or Sponsor attempting to satisfy the continuing legal educational requirements of this Rule, or the requirements of the Indiana Alternative Dispute Resolution Rules shall be confidential and shall not be disclosed except in furtherance of the duties of the Commission or upon the request of the Attorney, Mediator, or Sponsor affected. |

| Citation and Title | Brief Description |
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| Rule 31. Judges and lawyers assistance program. | All information, including records obtained by the Committee in the performance of its duty under these rules and as delegated by the Supreme Court of Indiana, shall be confidential, except as provided by the Program Guidelines. |
| JLAP Section 1. Definitions. | all information, whether oral, written, or electronically acquired, received by, or held in the possession of a representative, which in any manner (including identity) relates to a member who is impaired, believed to be impaired or possibly has an impairment. |
| JLAP Section 8. Confidentiality. | |
| MANDATORY CONTINUING LEGAL EDUCATION AND MANDATORY JUDICIAL EDUCATION GUIDELINES | Unless otherwise directed by the Supreme Court or by another court having jurisdiction, the files, records, and proceedings of the Commission, as they may relate to or arise out of an Attorney, Mediator, or Sponsor attempting to satisfy the continuing legal educational requirements of this Rule, or the requirements of the Indiana Alternative Dispute Resolution Rules shall be confidential and shall not be disclosed except in furtherance of the duties of the Commission or upon the request of the Attorney, Mediator, or Sponsor affected |

Rules for Alternative Dispute Resolution

| Citation and Title | Brief Description |
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| Rule 2.5. Qualifications of mediators. | Filings with the Commission shall be confidential. These filings shall not be disclosed except in furtherance of the duties of the Commission or upon the request, by the mediator involved, or as directed by the Supreme Court. |
| Rule 2.7. Mediation procedure. | The confidential statement of the case shall at all times be held privileged and confidential from other parties unless agreement to the contrary is provided to the mediator. |

| Citation and Title | Brief Description |
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| Rule 2.11. Confidentiality and Admissibility. | Mediation regarded as settlement negotiations and excluded |
| Rule 3.4. Arbitration procedure. | If a nonbinding arbitration determination is not rejected, the determination shall be entered as the judgment or accepted as a joint stipulation as appropriate. In the event a nonbinding arbitration determination is rejected, all documentary Rules of Evidence will be returned to the parties and the determination and all acceptances and rejections shall be sealed and placed in the case file |
| Rule 4.4. Mini-Rules of Trial Procedure. | Mini-trials shall be regarded as settlement negotiations as governed by Ind. Rules of Evidence Rule 408. Mini-trials shall be closed to all persons other than the parties of record, their legal representatives, and other invited persons. The participants in a mini-trial shall not be subject to process requiring the disclosure of any matter discussed during the mini-trial, but rather, such matter shall be considered confidential and privileged in nature. The confidentiality requirement may not be waived by or on behalf of the parties. |

Rules of Appellate Procedure

| Citation and Title | Brief Description |
|--------------------|--|
| Rule 23. Filing. | If a Court Record was excluded from Public Access in the trial court in accordance with Access to Court Records Rules, the Court Record shall remain excluded from Public Access on appeal unless the Court on Appeal determines the conditions in Access to Court Records Rule 7 are satisfied. |

Rules of Evidence

| Citation and Title | Brief Description |
|---|---|
| Rule 412. Sex-offense cases: the victim's or witness's sexual behavior or predisposition. | Unless the court orders otherwise, the motion, related materials, and the record of the hearing is confidential and excluded from public access |

Rules of Professional Conduct

| Citation and Title | Brief Description |
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| Rule 6.7. Requirement for Reporting of Direct Pro Bono Legal Services. | Information received pursuant to this Rule is declared confidential and shall not be publicly disclosed by the Indiana Supreme Court or any of its agencies, on an individual or firm-wide basis |

Rules of Trial Procedure

| Citation and Title | Brief Description |
|--|--|
| Rule 3.1. Appearance. | In a proceeding involving a petition for guardianship, the initiating party shall provide a completed Guardianship Information Sheet |
| Rule 80. Supreme Court Committee on Rules of Practice and Procedure. | Comments received by the Rules Committee shall be confidential unless otherwise ordered by the Supreme Court. |

Rules on Access to Court Records

| Citation and Title | Brief Description |
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| Rule 5: Records Excluded from Public Access (A) Court Records That Shall Be Excluded From Public Access In Entirety. | The following shall be excluded from Public Access and no notice of exclusion from Public Access is required: (1) Entire cases where all Court Records are declared confidential by statute or other court rule; |

| Citation and Title | Brief Description |
|---|---|
| Rule 5: Records Excluded from Public Access (A) Court Records That Shall Be Excluded From Public Access In Entirety. | The following shall be excluded from Public Access and no notice of exclusion from Public Access is required: (2) Entire cases where all Court Records are sealed in accordance with the Access to Public Records Act; |
| Rule 5: Records Excluded from Public Access (A) Court Records That Shall Be Excluded From Public Access In Entirety. | The following shall be excluded from Public Access and no notice of exclusion from Public Access is required: (3) Entire cases where all Court Records are excluded from Public Access by specific Court order entered in accordance with Rule 6; |
| Rule 5: Records Excluded from Public Access (A) Court Records That Shall Be Excluded From Public Access In Entirety. | The following shall be excluded from Public Access and no notice of exclusion from Public Access is required: (4) All Mental health cases filed pursuant to I.C. § 12- 26; |
| Rule 5: Records Excluded from Public Access (A) Court Records That Shall Be Excluded From Public Access In Entirety. | The following shall be excluded from Public Access and no notice of exclusion from Public Access is required: (5) Entire cases that exclusively pertain to investigative requests and process unrelated to a pending criminal proceeding, including but not limited to search warrants, subpoenas ad testificandum, subpoenas duces tecum, and other investigative requests. |
| Rule 5: Records Excluded from Public Access (A) Court Records That Shall Be Excluded From Public Access In Entirety. | The following shall be excluded from Public Access and no notice of exclusion from Public Access is required: (6) All paternity records created after July 1, 1941, and before July 1, 2014, as declared confidential by statutes in force between those dates, which statutes were amended by P.I. 1-2014, effective July 1, 2014. |
| Rule 5: Records Excluded from Public Access (B) Individual Case Records That Shall Be Excluded From Public Access. | The following shall be excluded from Public Access by filing the document on green paper (if paper filing) or by filing the document as a confidential document (if e-filing), along with an ACR Form identifying the specific Rule 5 ground(s) upon which exclusion is based: (1) Case Records declared confidential or excluded from Public Access pursuant to federal law; |

| Citation and Title | Brief Description |
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| Rule 5: Records Excluded from Public Access (B) Individual Case Records That Shall Be Excluded From Public Access. | The following shall be excluded from Public Access by filing the document on green paper (if paper filing) or by filing the document as a confidential document (if e-filing), along with an ACR Form identifying the specific Rule 5 ground(s) upon which exclusion is based: (2) Case Records excluded from Public Access or declared confidential by Indiana statute or other court rule; |
| Rule 5: Records Excluded from Public Access (B) Individual Case Records That Shall Be Excluded From Public Access. | The following shall be excluded from Public Access by filing the document on green paper (if paper filing) or by filing the document as a confidential document (if e-filing), along with an ACR Form identifying the specific Rule 5 ground(s) upon which exclusion is based: (3) Case Records excluded from Public Access pursuant to 5(A) or by specific Court order entered in accordance with Rule 6; |
| Rule 5: Records Excluded from Public Access (B) Individual Case Records That Shall Be Excluded From Public Access. | The following shall be excluded from Public Access by filing the document on green paper (if paper filing) or by filing the document as a confidential document (if e-filing), along with an ACR Form identifying the specific Rule 5 ground(s) upon which exclusion is based: (4) Case Records sealed in accordance with the Access to Public Records Act; |
| Rule 5: Records Excluded from Public Access (B) Individual Case Records That Shall Be Excluded From Public Access. | The following shall be excluded from Public Access by filing the document on green paper (if paper filing) or by filing the document as a confidential document (if e-filing), along with an ACR Form identifying the specific Rule 5 ground(s) upon which exclusion is based: (5) Case Records for which a statutory or common law privilege has been asserted and not waived or overruled; |
| Rule 5: Records Excluded from Public Access (B) Individual Case Records That Shall Be Excluded From Public Access. | The following shall be excluded from Public Access by filing the document on green paper (if paper filing) or by filing the document as a confidential document (if e-filing), along with an ACR Form identifying the specific Rule 5 ground(s) upon which exclusion is based: (6) Case Records created or maintained by an agency or program for pre-trial release and supervision and problem-solving court supervision; |

| Citation and Title | Brief Description |
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| Rule 5: Records Excluded from Public Access (B) Individual Case Records That Shall Be Excluded From Public Access. | The following shall be excluded from Public Access by filing the document on green paper (if paper filing) or by filing the document as a confidential document (if e-filing), along with an ACR Form identifying the specific Rule 5 ground(s) upon which exclusion is based: (7) Records in a pending matter that pertain to permissible ex parte proceedings, post-charging investigatory requests for process, or requests for in camera review, and that have been ordered confidential by the trial judge; |
| Rule 5: Records Excluded from Public Access (B) Individual Case Records That Shall Be Excluded From Public Access. | The following shall be excluded from Public Access by filing the document on green paper (if paper filing) or by filing the document as a confidential document (if e-filing), along with an ACR Form identifying the specific Rule 5 ground(s) upon which exclusion is based: (8) Medical records compiled or created by a medical service provider and examiner reports pursuant to Trial Rule 35; |
| Rule 5: Records Excluded from Public Access (B) Individual Case Records That Shall Be Excluded From Public Access. | The following shall be excluded from Public Access by filing the document on green paper (if paper filing) or by filing the document as a confidential document (if e-filing), along with an ACR Form identifying the specific Rule 5 ground(s) upon which exclusion is based: (9) Mental health records compiled or created by a mental health services provider for treatment purposes; |
| Rule 5: Records Excluded from Public Access (B) Individual Case Records That Shall Be Excluded From Public Access. | The following shall be excluded from Public Access by filing the document on green paper (if paper filing) or by filing the document as a confidential document (if e-filing), along with an ACR Form identifying the specific Rule 5 ground(s) upon which exclusion is based: (10) Drug or substance abuse records, including test results, when performed at the direction of a substance abuse treatment program provider or a court or court program governed by 42 CFR Part 2. |

| Citation and Title | Brief Description |
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| Rule 5: Records Excluded from Public Access (C) Personal Information of Litigants, Witnesses, and Children: | (1) Unless necessary to the disposition of the case, the following information shall be redacted, and no notice of exclusion from Public Access is required: (a) Complete Social Security Numbers of living persons; If the information is |
| | necessary to the disposition of the case, the document containing the confidential information shall be filed on green paper (if paper filing) or filed as a confidential document (if e-filed). A separate document with the confidential information redacted shall be filed on white paper (if paper filing) or filed as a public document (if e-filing). A separate ACR Form identifying the information excluded from public access and the Rule 5 grounds for exclusion shall also be filed. |
| Rule 5: Records Excluded from Public Access | (1) Unless necessary to the disposition of the case, the following information |
| (C) Personal Information of Litigants, Witnesses, and Children: | shall be redacted, and no notice of exclusion from Public Access is required: (b) Complete account numbers, personal identification numbers, and passwords. If the information is necessary to the disposition of the case, the document containing the confidential information shall be filed on green |
| | paper (if paper filing) or filed as a confidential document (if e-filed). A separate document with the confidential information redacted shall be filed on white |
| | paper (if paper filing) or filed as a public document (if e-filing). A separate ACR Form identifying the information excluded from public access and the Rule 5 grounds for exclusion shall also be filed. |
| Rule 5: Records Excluded from Public Access | (2) The names of child witnesses in cases involving sex offenses shall be |
| (C) Personal Information of Litigants, Witnesses, and Children: | excluded from public access, and any references shall be replaced with initials or similar designation that ensures their anonymity, with no notice of exclusion |
| | from Public Access required. Names shall not be redacted in protection order cases or on no contact orders. |

| Citation and Title | Brief Description |
|---|--|
| Rule 5: Records Excluded from Public Access (C) Personal Information of Litigants, Witnesses, and Children: | (3) Addresses (mail or email), dates of birth, and phone numbers of natural persons who are witnesses or victims in criminal, juvenile, or civil protection order proceedings shall be excluded from public access. The document containing the confidential information shall be filed on green paper (if paper filing) or filed as a confidential document (if e-filed). A separate document with the confidential information redacted shall be filed on white paper (if paper filing) or filed as a public document (if e-filing). A separate ACR Form identifying the information excluded from public access and the Rule 5 grounds for exclusion shall also be filed. |
| Rule 5: Records Excluded from Public Access (D) Court Administrative Records That Must Be Excluded From Public Access. The following Court Administrative Records are confidential and must be excluded from Public Access: | (1) Case Records excluded in ACR Rule 5(C); |
| Rule 5: Records Excluded from Public Access (D) Court Administrative Records That Must Be Excluded From Public Access. The following Court Administrative Records are confidential and must be excluded from Public Access: | (2) Court Administrative Records excluded from Public Access or declared confidential by Indiana statute or other court rule; |
| Rule 5: Records Excluded from Public Access (D) Court Administrative Records That Must Be Excluded From Public Access. The following Court Administrative Records are confidential and must be excluded from Public Access: | (3) Attorney residence addresses and email addresses provided to the Clerk of the Supreme Court pursuant to Admission & Discipline Rule 2 except for such administrative purposes approved by the Chief Administrative Officer; |
| Rule 5: Records Excluded from Public Access (D) Court Administrative Records That Must Be Excluded From Public Access. The following Court Administrative Records are confidential and must be excluded from Public Access: | (4) Places of residence of judicial officers, clerks and other employees of courts and clerks of court, unless the person or persons about whom the information pertains waives confidentiality; |

| Citation and Title | Brief Description |
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| Rule 5: Records Excluded from Public Access (D) Court Administrative Records That Must Be Excluded From Public Access. The following Court Administrative Records are confidential and must be excluded from Public Access: | (5) All personal notes, organizers, or calendars; e-mail; and deliberative material of judges, jurors, court staff, and judicial agencies, whether recorded electronically or on paper. |
| Rule 5: Records Excluded from Public Access (E) Court Records That Shall Be <u>Temporarily Excluded From Public Access</u> . | (1) The following shall be excluded from Public Access and no notice of exclusion from Public Access is required: Entire criminal cases when a request to exclude Case Records from Public Access is filed contemporaneously with a request for an arrest warrant. (a) When probable cause to justify issuance of an arrest warrant has been established, the Case Records shall be publicly accessible unless the judge determines that the facts presented in the request for exclusion from Public Access support a reasonable belief that public disclosure will increase the risk of flight by the defendant, create an undue risk of harm to the community or a law enforcement officer, or jeopardize an ongoing criminal investigation. (b) An order excluding Public Access issued under this subsection shall expire immediately upon the arrest of the defendant. |

Citation and Title Brief Description

Rule 5: Records Excluded from Public Access

(E) Court Records That Shall Be Temporarily Excluded From Public Access.

(2) The following shall be excluded from Public Access by filing the document on green paper (if paper filing) or by filing the document as a confidential document (if e-filing), along with an ACR Form identifying this subsection, Rule 5(E)(2) as the ground(s) upon which exclusion is based: Court Records related to violations of conditions of post-conviction supervision when a request to exclude the records from Public Access is filed contemporaneously with the notice of violation and the request for arrest warrant. (a) When probable cause to justify issuance of an arrest warrant has been established, the Case Records shall be publicly accessible unless the judge determines that the facts presented in the request for exclusion from Public Access support a reasonable belief that public disclosure will increase the risk of flight by the defendant, create an undue risk of harm to the community or a law enforcement officer, or jeopardize an on-going criminal investigation. (b) An order excluding Public Access issued under this subsection shall expire immediately upon the arrest of the defendant.